

# New Ideas on Single Top

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**Outline**

- *t* at Tevatron: What Went Wrong?

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Shapes: asymmetries and correlations  
 $W$ -plus-jets: coherent studies needed

- $t$  at LHC: Good News!

Bowen

## Single Top Production

- Electroweak process: calculable.
- Carefully examined in 1990s after  $m_t$  measured.
- Large (3 pb) cross-section ( $\sigma_{t\bar{t}} \sim 6.7$  pb.)
- Lepton, missing energy, heavy flavor in final state.
- Precision (?) test of standard model (measure  $V_{tb}$ ).
- Sensitive to new physics:

... change in  $V - A$  vertex

... direct effects on single  $t$

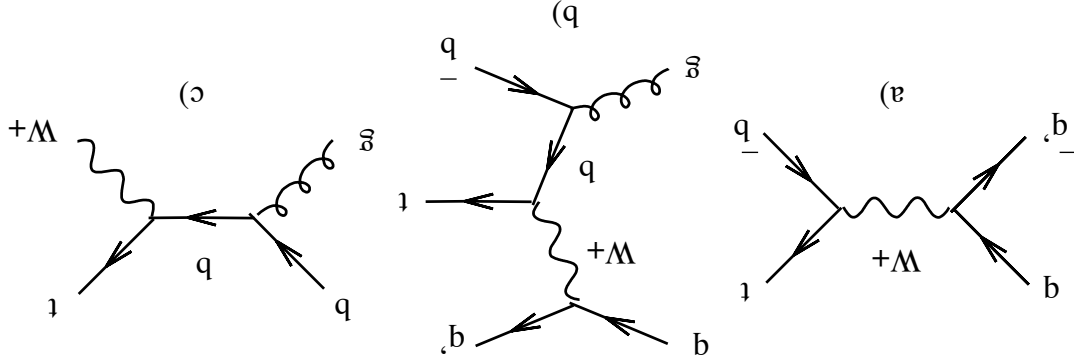
... effects on  $W$ -plus-jets

... 3rd generation leptons/quarks

... Higgsinos,  $t'$ ,  $\tilde{t}$ , ...



## Single Top Production



TeVatron:  $t\bar{b}$  1 pb,  $t\bar{b}q$  2 pb,  $tW$  0.1 pb  $\Rightarrow$  1500 events already

LHC:  $t\bar{b}$  10 pb,  $t\bar{b}q$  250 pb,  $tW$  60 pb  $\Rightarrow$  3,000,000 events/yr

(low lum.)

After  $t \rightarrow Wb \rightarrow \ell\nu b$ , observables are:

lepton, missing  $p_T$ , 1 or 2  $b$  jets, other jets.

(Recall: in  $t\bar{b}q$ , second  $b$  jet often not seen or tagged.)

## The Backgrounds

Top-antitop production: “ $t\bar{t}$ ”

$p\bar{p} \rightarrow t\bar{t} \rightarrow W^+b W^-b \rightarrow \ell\nu b j\bar{j}b$

$W$  plus jets: “ $Wj^n$ ”

$p\bar{p} \rightarrow Wjj, Wjjj, Wjjjj, \dots$ , etc.,  $W \rightarrow \ell\nu$

QCD:

$p\bar{p} \rightarrow$  jets, with energy mismeasurement and

hadron which fakes a lepton or

$\mu$  from heavy flavor decay

Earlier Calculations:

Ellis & Parke 92; Carlson & Yuan 93; Heinson, Belyaev & Boos  
96; Tait & Yuan 97

...

Stelzer, Sullivan and Willenbrock 1998

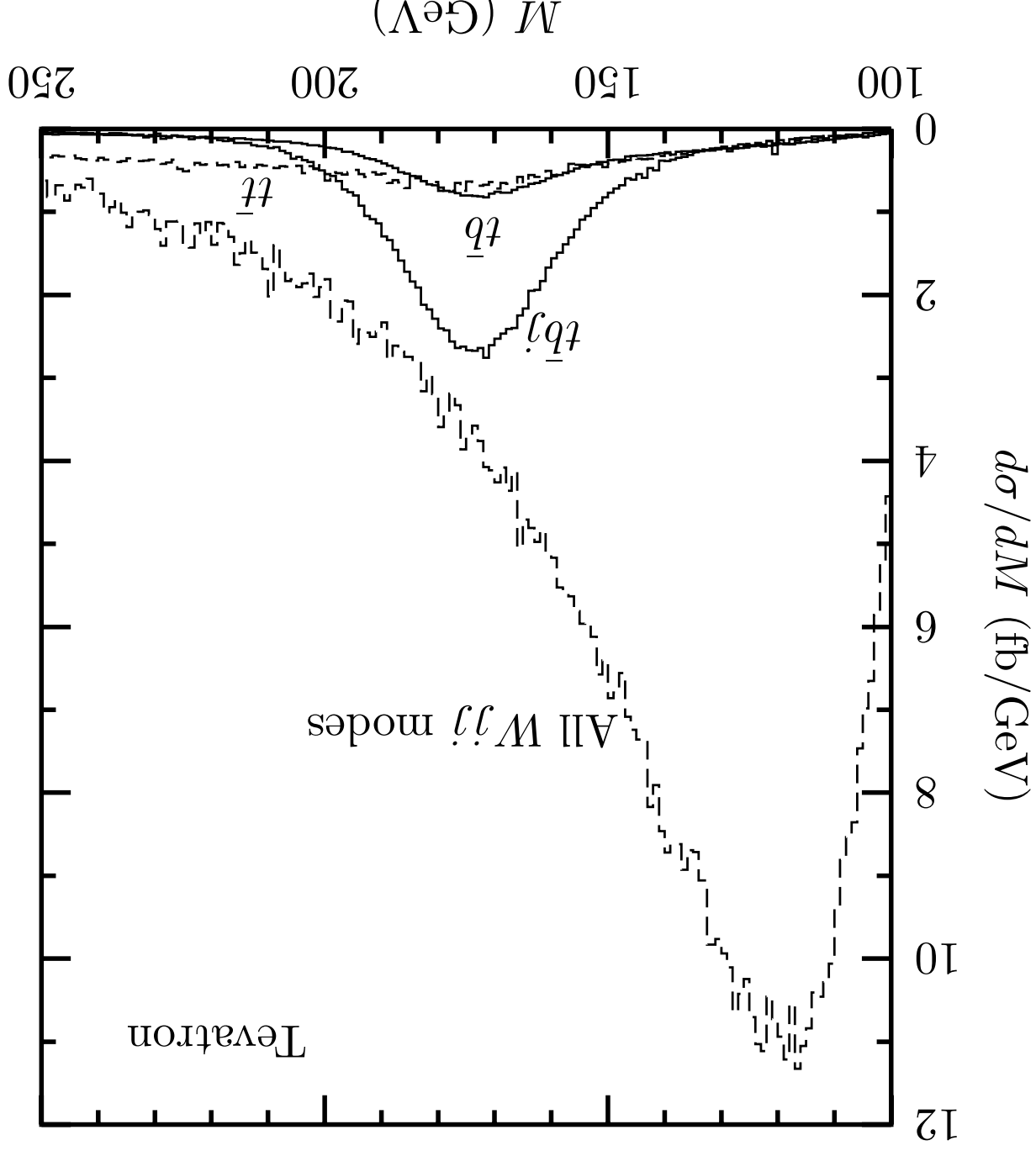
SSW is the classic reference for the pre-Run II era.

...

Sullivan 04 ; Campbell, Ellis & Tramontano 04; Cao,  
Schwiehhorst, Yuan 04

## Method of SSW

- Require one “ $b$ ”-tagged jet (single tag for  $tbq$ )
- Compute  $t\bar{t}$  – straightforward
- Impose jet veto (two and only two jets) to remove  $t\bar{t}$ .
- Dangerous! ok here though.*
- Compute  $Wj^n$  – actually  $Wjj$  only [jet veto]:
  - $b$ -tag  $\Leftrightarrow Wb\bar{b}$ : straightforward
  - ...but must also include  $Wc\bar{c}, Wqc, Wgc, Wqq, Wq\bar{q}, Wgq, Wg\bar{g}$
- Estimate QCD? No... expect small enough
- Reconstruct “ $m_t$ ” from  $\ell + p_T = W, W + b = t$
- Plot  $m_t$ , do “sideband subtraction”; remove  $Wj^n$  using data.
- Count events.



SSW concluded: for  $1 \text{ fb}^{-1}$ , 100 signal events, 150 background.

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Unfortunately, this is not true.

Most of it is just bad luck:

- $\sqrt{s} = 1.96 \text{ TeV}$ , not 2.00 (hurts signal)
- $m_t \sim 178\text{-}180 \text{ GeV}$ , not 175 (hurts signal)
- gluon pdf went down (hurts signal)
- Next-to-leading order correction increases overall  $Wjj$  rate by 1.5



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- $b$ -tagging is not so good:

$b$  tagged 40-45 %

$c$  tagged 15-20 %

$g, q$  tagged 1 %

This significantly increases  $Wjj$  relative to signal.

- Resolution in “ $m_t$ ” is lower than assumed (decreases separation of signal from  $Wjj$ .)

Another subtle, important problem: Miscommunication!

**Experimentalists:** “Light quark jets are tagged about one percent of the time.”

**Theorists:** “ $g, q$  tagged  $\sim 1\%$ ”

But these aren't the same, of course...

**Experimentalists mean:** “Jets with no heavy-flavor hadrons are tagged about one percent of the time.”

Gluon in tree graph can split, at next order, to  $c\bar{c}$  or  $b\bar{b}$  pair. Rare, but almost exactly compensated by increased tagging!

*TRIPLES the effective tagging rate for gluons!* (large error bar)

Bottom Line: A counting experiment will not work.

Signal to background **1:4**; both low statistics and systematic errors on predicting  $W$  plus jets are prohibitive.

We need a different approach.

*Use energy/angular shapes, correlations?*

## General Remarks on Tevatron

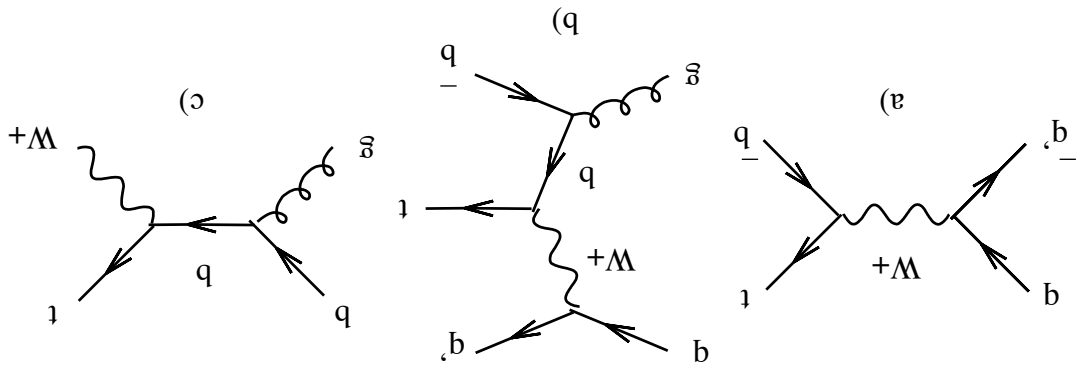
The  $p\bar{p}$  initial state of the Tevatron is flipped by C and P:

$$d \rightarrow \leftarrow \bar{d} \iff \bar{d} \rightarrow \leftarrow d$$

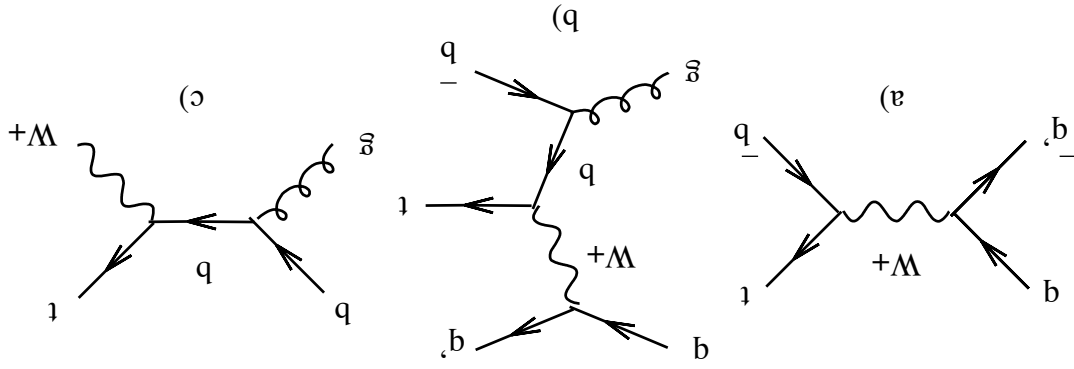
Therefore, in principle, any observable may show a parity (and charge) asymmetry.

$$A_{FB} = \frac{\sigma_{W^+}^{0 < \eta < 0} + \sigma_{W^+}^{0 > \eta > 0}}{\sigma_{W^+}^{0 < \eta < 0} - \sigma_{W^+}^{0 > \eta > 0}} \sim 25\%$$

The  $t$ -channel process  $t\bar{b}q$  is very distinctive:



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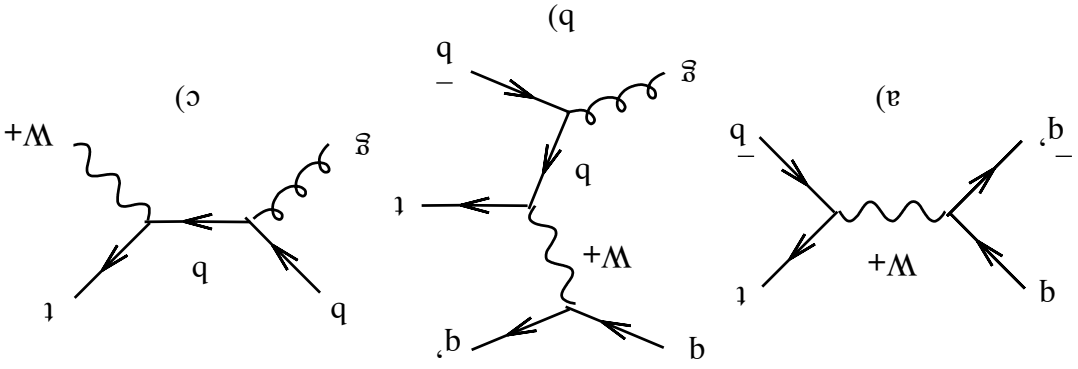


To make a  $t$  (not a  $\bar{t}$ ) need

- $n$  from  $d$  and  $\bar{d}$  from  $\bar{d}$

- $\bar{d}$  from  $d$  and  $d$  from  $\bar{d}$

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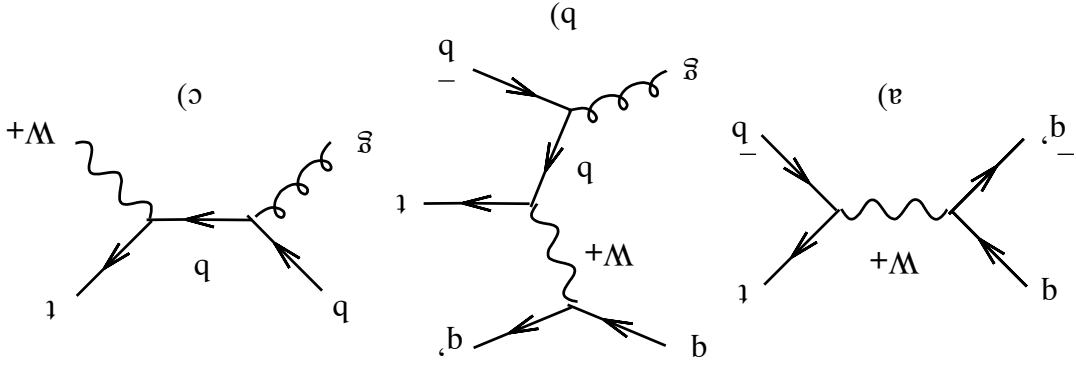
To make a  $t$  (not a  $\bar{t}$ ) need

•  $n$  from  $d$  and  $\bar{d}$  from  $\bar{p}$

•  $g$  from  $p$  and  $\bar{d}$  from  $\bar{p}$

$\Rightarrow$  **Asymmetry:** the  $q$  in  $t\bar{b}q$  tends to travel in the  $p$  direction  
 $\eta_j > 0$  for  $t$ ,  $\eta_j < 0$  for  $\bar{t}$

The  $t$ -channel process  $t\bar{b}q$  is very distinctive:



- the top spin orientation

- the lepton momentum direction

- the  $q$  momentum direction

all tend to align.

**Correlation:** Typically, if  $\eta_j > 0$ ,  $\eta_k > 0$



## Top versus Anti-top

Combine  $t$  and  $\bar{t}$  data by weighting  $\eta_j$ ,  $\eta_\ell$  by lepton charge  $Q$ .

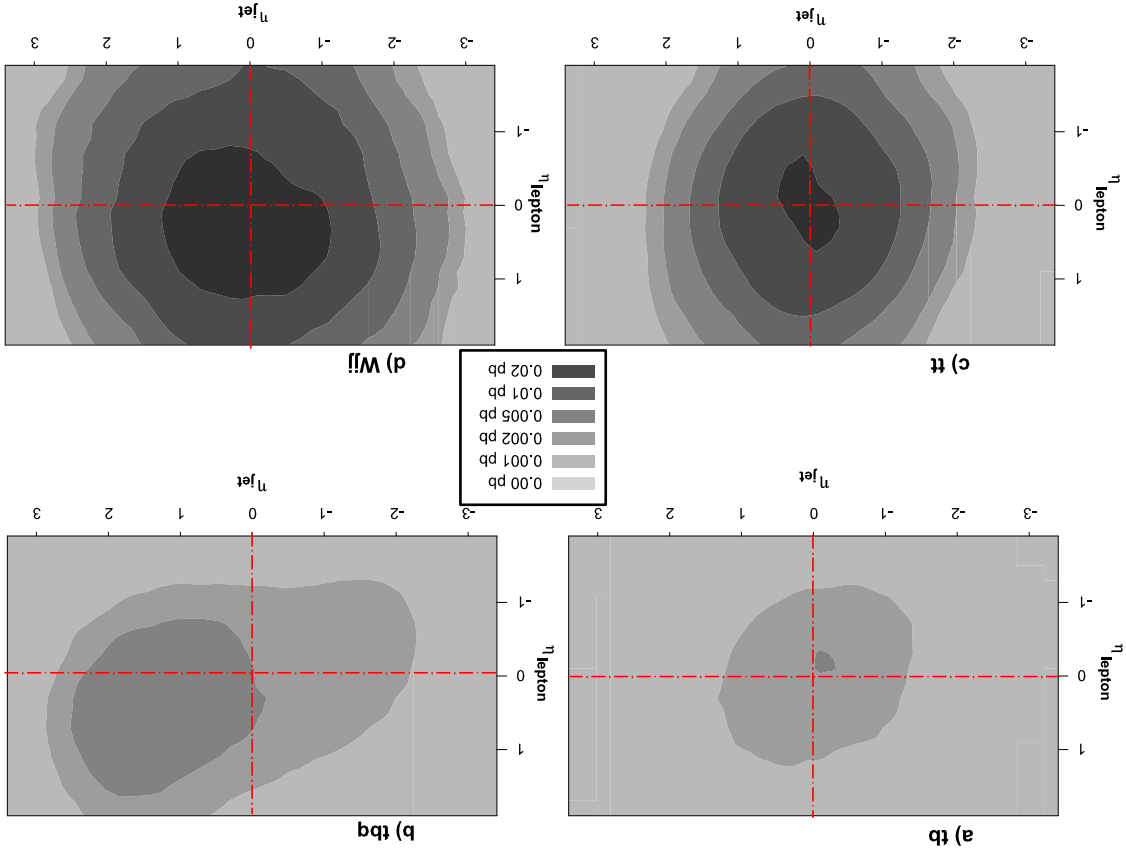
Thus  $Q\eta_j > 0$ ,  $Q\eta_\ell > 0$  for single  $t$ ,  $\bar{t}$ .

Plot distributions in the  $(\eta_j, \eta_\ell)$  plane.

Look for the asymmetries, correlations in the signal and the backgrounds.

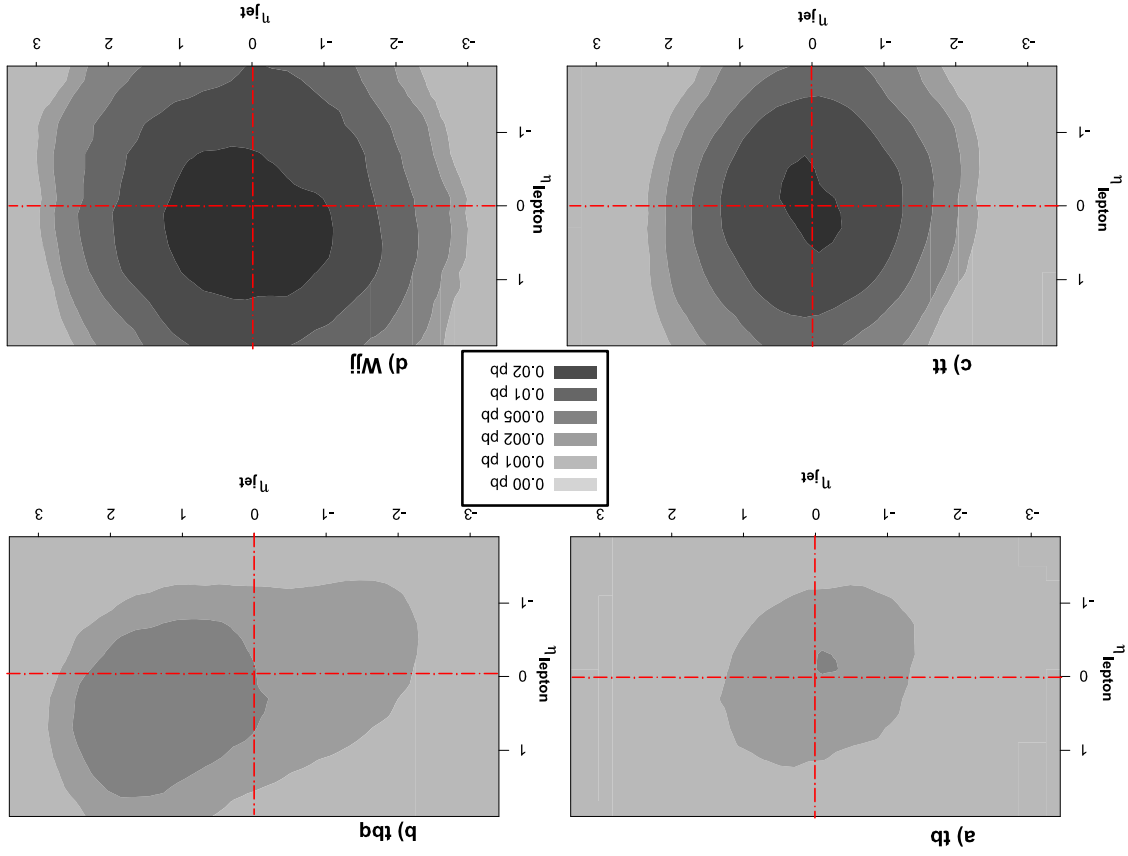
$\hat{Q}\eta_j$  vs.  $\hat{Q}\eta_j$  for  $tb$ ,  $tbq$ ,  $tt$ ,  $Wj$

(CDF has used  $\hat{Q}\eta_j$ .)

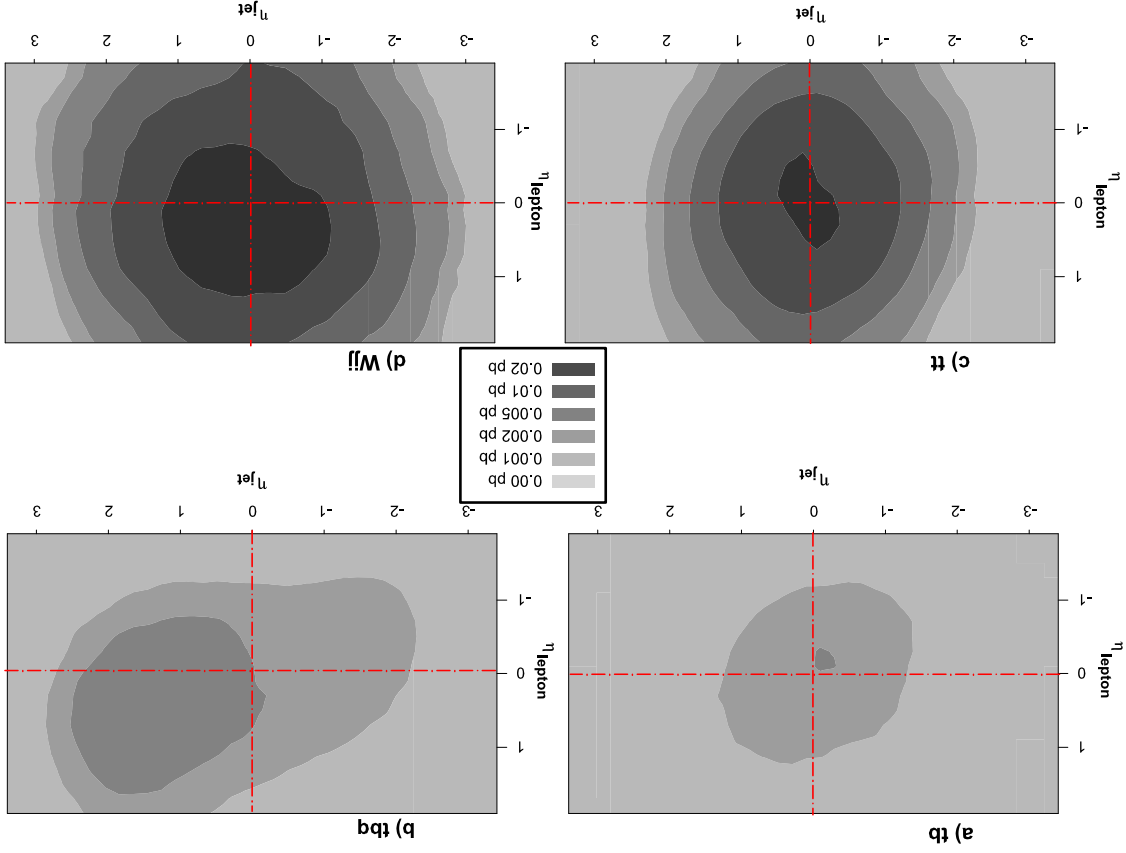


Madevent  $\Rightarrow$  Pythia  $\Rightarrow$  PGS

Note  $Q\eta_j > 0$ ,  $Q\eta_e > 0$  for single  $t$ ,  $\bar{t}$ .

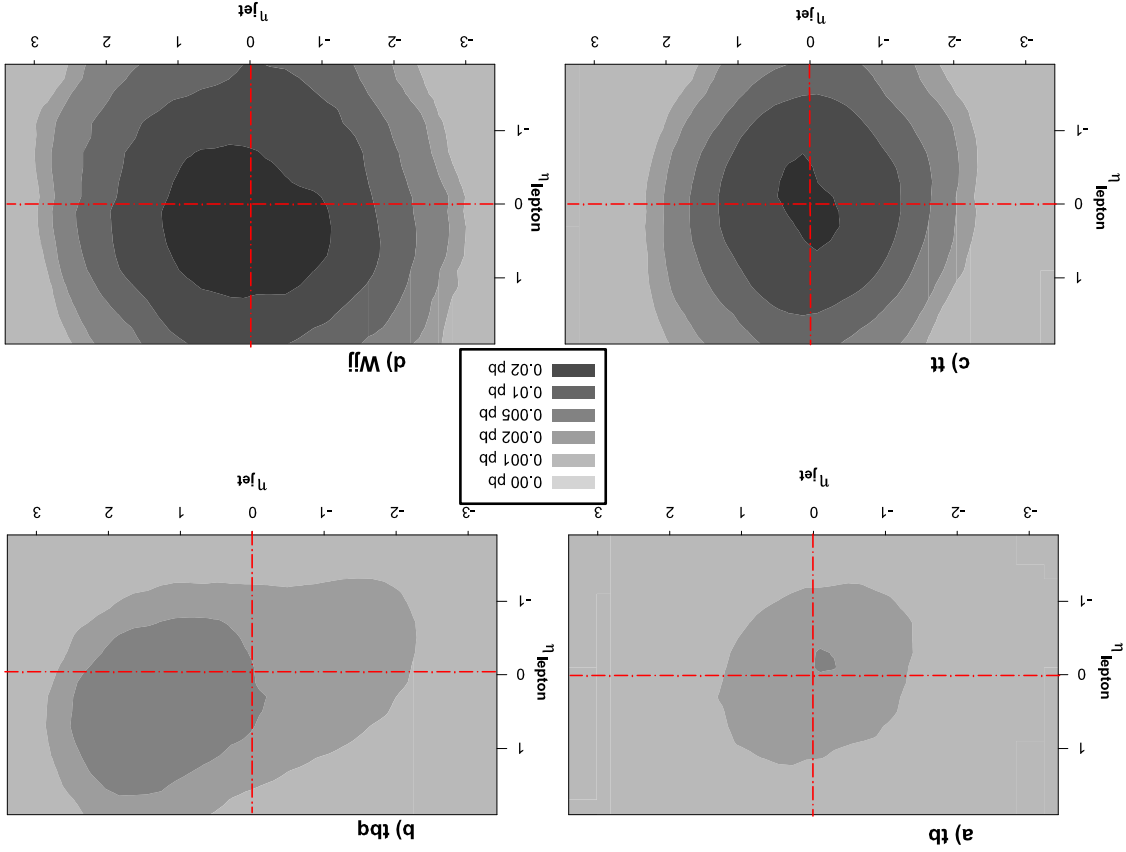


At tree level,  $t\bar{t}$  does not have any asymmetry...



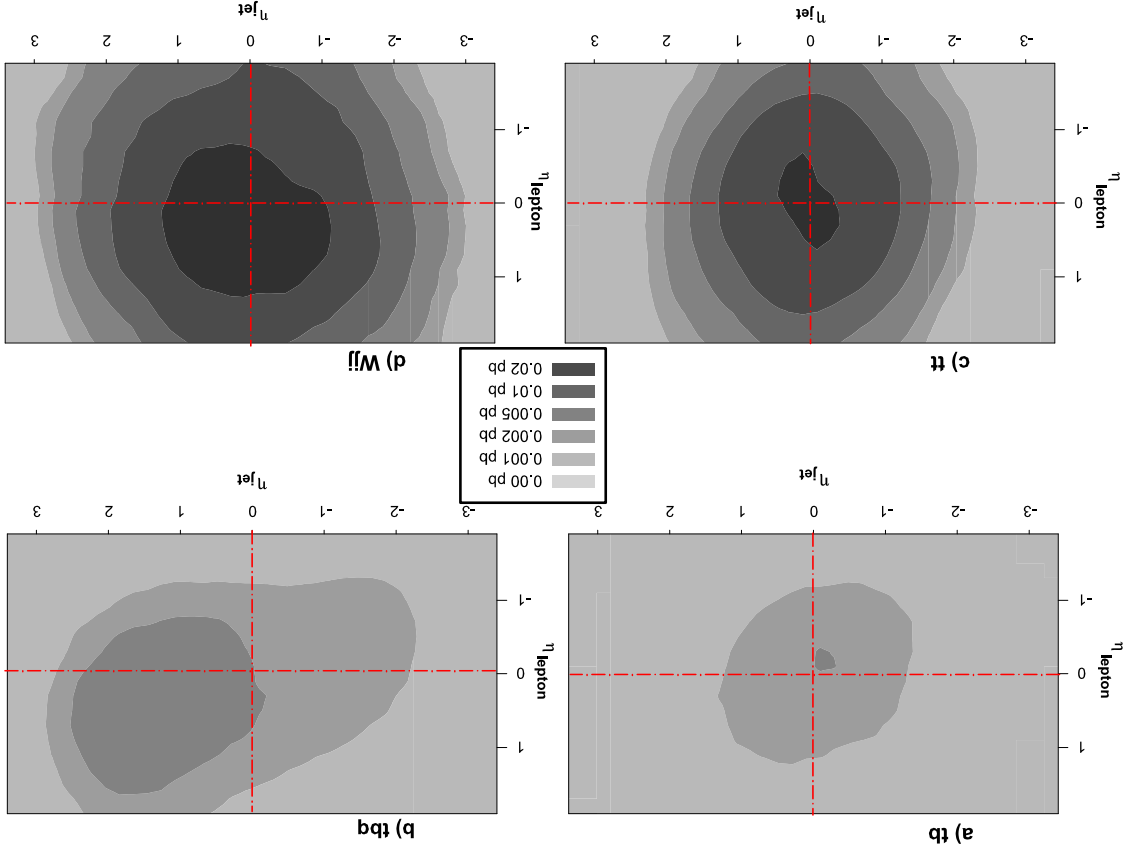
though at next order it does (few percent)

QCD effects similarly symmetric, uncorrelated!



$W$  plus jets has an asymmetry/correlation but not so large

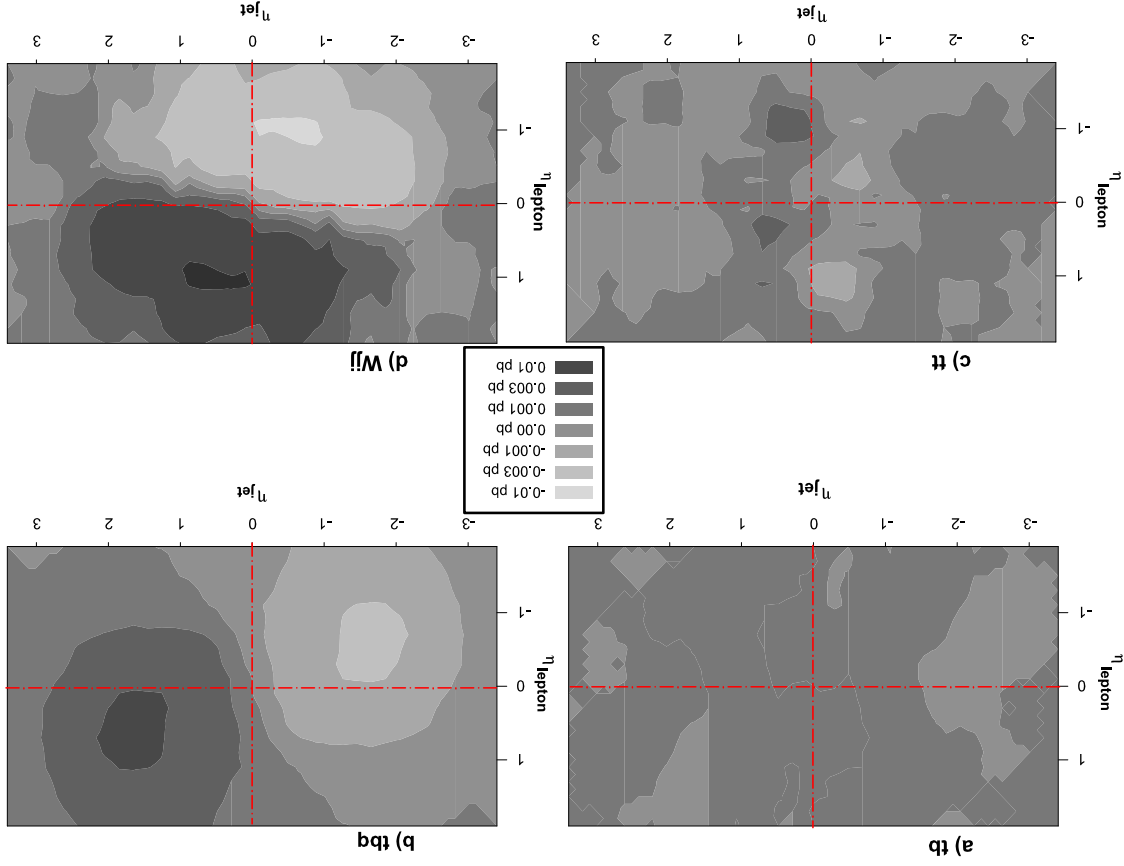
So – construct observables that measure the asymmetry and correlations...



In principle, such observables should have much smaller backgrounds.

This works!

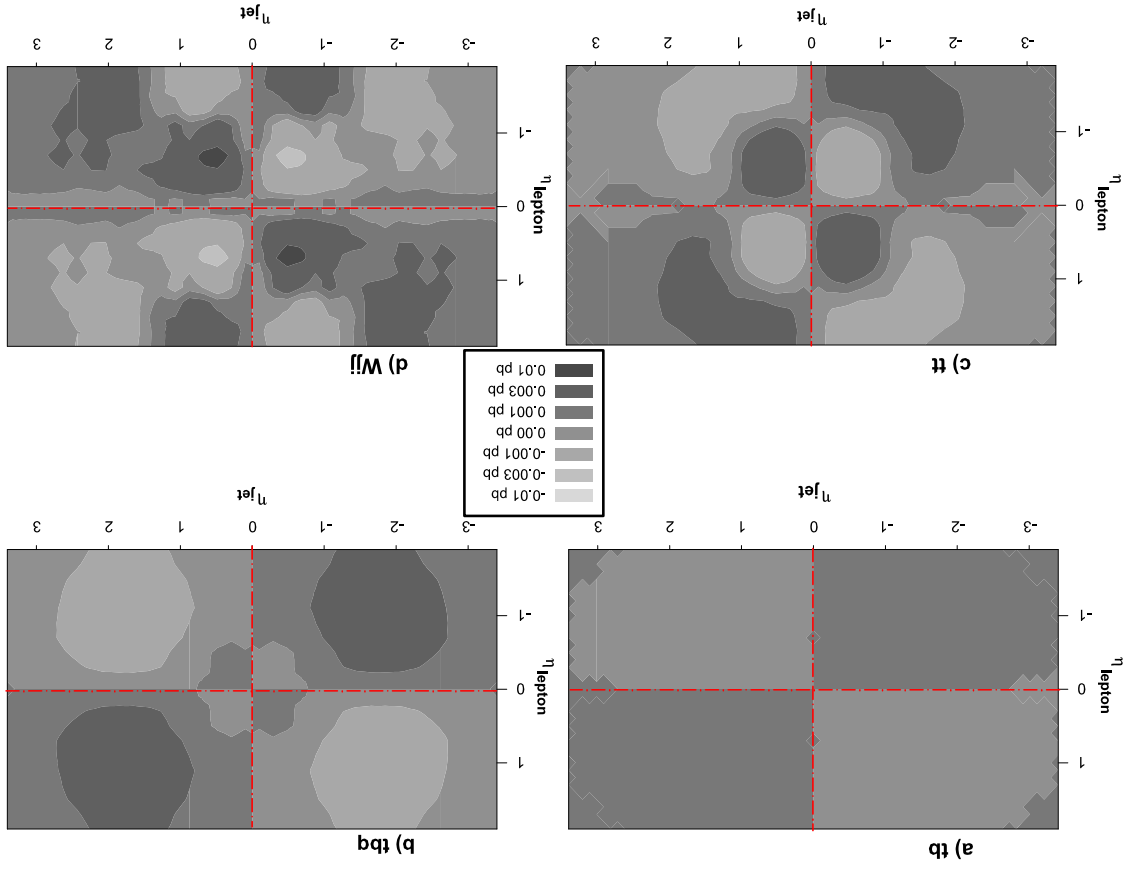
## Parity-Asymmetric Observable



$S/B \sim 1:1$ . Note region with no signal; test background.

This works!

# Lepton-Jet Correlation Observable



$$S/B \sim 1:1.$$



This works! ... sort of...

Can improve  $S/B$  ratio to about 1:1.

*Jet Veto not needed.*

But statistics still bad. Need at least  $4 \text{ fb}^{-1}$  even for 2:1  $S/\sqrt{B+S}$ .

And systematics not very good: must subtract  $Wj^n$  and NLO  $t\bar{t}$  asymmetry — smaller, but still not well-known.

Can't we remove  $W_j^n$  using the **data**, as SSW suggested?

*What happened to the SSW sideband subtraction?*

Any useful cut which reduces  $W_j^n$  to a reasonable level *shapes the background*, makes background non-monotonic: not suitable for sideband analysis.

So we have to predict  $W_j^n$ , at least in part, using **theory**.

This is very, very hard. Don't trust your Monte Carlo

(especially with a  $>2$ -jet veto!). In particular, don't try to train a neural net on it, at least not yet. Let's see why...

We'll explore this in the single-tag sample:

*Wjj* at tree level [Madgraph]



Parton showering and hadronization [Pythia]



Jet identification and heavy-flavor tagging [PGS]

*b* : 50%, *c* : 15%, mistag : 1% maximum

What are the properties of the sample with *one b-tagged jet*?

The single-tag sample: how made *vs.* how tagged  
 Tree-level process *vs.* flavor-content of tagged jet

$Wjj$ Channel	$b$ -jet	$c$ -jet	non $b/c$ -jet	Total
$W_{qb}$	2%	1%	6%	9%
$W_{qg}$	11%	8%	14%	33%
$W_{gg}$	7%	5%	5%	17%
$W_{cg}$	0%	14%	1%	15%
$W_{c\bar{g}}$	1%	10%	0%	11%
$W_{c\bar{c}}$	0%	5%	0%	5%
$W_{b\bar{b}}$	10%	0%	0%	10%
Total	31%	43%	26%	100%

So, the single “ $b$ ”-tag  $W_j^n$  sample is made from a large variety of different processes...

...each with its own shape ...each with its own normalization  
...each with its own uncertainties from pdfs, gluon splitting,  
tagging rates.

But a reliable prediction of the shape is necessary.

- No Monte Carlo can give you the shape yet, even if you know the next-to-leading-order matrix elements. We find the uncertainties are far too large at present.
- To improve the prediction, many steps necessary; no single calculation, measurement, or detector improvement will make a huge difference.

• The problems with  $W_j^n$  stem from many places, and to solve them will require a blue-ribbon panel of expert theorists and experimentalists of different stripes working together.

Ideally, we will improve our understanding of  $W_{j_n}$  over the coming two years.

- Test understanding using comprehensive study of  $W_{j_n}$ ,  $Z_{j_n}$  with 0, 1, 2  $b$ -tags

- Null experiment in signal-free region tests  $W_{j_n}$ .

- Use data to measure  $c/b$  ratio in gluon splitting?!

But we will never predict it with precision, so we must **also**

reduce it; how?

- Increase  $b$  tagging, decrease  $c$  contamination
- Reduce energy resolution on  $m_t$  reconstruction
- Discard events with wrong-sign  $B_{\pm}$  meson in tagged jet?!
- Identify/discard events where tagged jet shows signs of gluon splitting? (two heavy-flavor mesons, or double-jet shape?!?)

## On to LHC

SSW: Standard Lore at LHC, also

- Again, jet veto to remove  $t\bar{t}$ .
- Again,  $m_t$  reconstruction to remove  $W$  plus jets.
- Can see  $t\bar{b}q$  in single tag sample but not in double tag sample.
- Other cuts... will skip...

Apply the same trick?

LHC initial state is P-symmetric, C-asymmetric.

Even total cross-sections have charge asymmetries:

$$A_C \equiv \frac{\sigma_{W^+} + \sigma_{W^-}}{\sigma_{W^+} - \sigma_{W^-}} \approx 0.14$$

Can charge asymmetries make signal easier to observe?

*All of the following results on LHC single top production are entirely the work of Matt Bowen, fourth-year graduate student at the University of Washington.*



## Bowen's Observations

- Relative to Tevatron, many more gluons. Boosts  $t\bar{t}$  sharply,  $t\bar{b}q$  somewhat,  $W^+\text{jets}$  not so much.

- $\sigma_{t\bar{b}q} = 160$  pb,  $\sigma_{t\bar{b}q} = 90$  pb: so  $A_C > 25\%$ . Harris et al. '02

- But  $t\bar{t}$  (biggest background) has  $A_C = 0$  !!

(Caution — actually nonzero at NLO, but  $|A_C| > 0.00025$ .)

- With a single  $b$  tagged jet,  $W^+\text{jets}$  has only a 7% asymmetry. Contributions with  $A_C > 0$  from e.g.  $d\bar{g} \rightarrow W^-c\bar{g}$ ;

- If two  $b$  tagged jets,  $W\bar{b}b$  is main background (under good theoretical control and has rather small rate.)

- Asymmetry so large that no complicated cuts, jet veto, top quark reconstruction, or even careful simulation is necessary. MadGraph/Madevent [Maltoni, Stelzer](#) is sufficient; Pythia, PGS not needed here.

Item	$p_T$	$ \eta $
$\ell_{\neq}$	$\geq 20 \text{ GeV}$	$\leq 2.5$
MET ( $\nu$ )	$\geq 20 \text{ GeV}$	-
$b$ -tagged jets	$\geq 30 \text{ GeV}$	$\leq 2.5$
other jets	$\geq 30 \text{ GeV}$	$\leq 4.5$

Table 1: Detector cuts used to select events.

$N_{\mp} = \# \text{ events w/ } \ell_{\mp}; N_{total} = N_{+} + N_{-}; \Delta = N_{+} - N_{-};$

Channel	$N_{total}$	$\Delta$	$\sqrt{N_{total}}$
$t\bar{b}$	4,550	990	67
$t\bar{b}q$	116,000	30,900	340
$W\bar{b}b$	21,900	4,820	150
$Wj\bar{j}$	236,000	18,000	490
$t\bar{t}$	958,000	-479	980
Total	1.34M	54,200	1,200

Table 2: Numbers of events with 1  $b$ -tag for  $10 \text{ fb}^{-1}$ .  $t\bar{t}$  is assumed to have a  $-0.05\%$  charge asymmetry.

$$A_C = 3.2\% \pm 0.1\% \text{ (stat)} \pm 0.4\% \text{ (syst from } Wj\bar{n})$$

$N_{\mp} = \# \text{ events w/ } \ell_{\mp}; N_{total} = N_{+} + N_{-}; \Delta = N_{+} - N_{-};$

Channel	$N_{total}$	$\Delta$	$\sqrt{N_{total}}$
$t\bar{b}$	1,790	330	42
$t\bar{b}q$	15,100	4,030	120
$W\bar{b}b$	8,800	1,800	94
$Wjj$	1,550	30	40
$t\bar{t}$	336,000	-167	580
Total	363,000	6,020	600

Table 3: Numbers of events with 2  $b$ -tags for  $10 \text{ fb}^{-1}$ .  $t\bar{t}$  is assumed to have a  $-0.05\%$  charge asymmetry.

$$A_C = 1.8\% \pm 0.2\% \text{ (stat)} \pm 0.1\% \text{ (syst from } W\bar{b}b)$$

## Bowen's Conclusion for LHC

- Single top is easily measured, and precisely so, in both the single-tag and double-tag samples.

- Double-tag sample actually may have lower systematic errors.
- Method is extremely robust: no attempt made to separate signal from background other than  $A_C$ . Lower efficiencies, unexpectedly tough experimental environment no problem; more integrated luminosity and/or more targeted cuts.

- • • Caution: C-asymmetry method is crucially sensitive to systematic uncertainties in charge-asymmetric effects.

Systematic uncertainty in unequal efficiencies for  $\ell^+$  versus  $\ell^-$  of order 0.5% will impact  $t\bar{t}$  at a level large enough to ruin the measurement. *Real-Time Calibration Needed.*

## Summary

- Single top is harder at Tevatron than thought; parity asymmetries and lepton-jet correlations almost save the day, but this is going to be very tough.
- $W$  plus jets is the problem, not  $t\bar{t}$ .
- Be very cautious requiring two *and only two* jets; theoretical error on normalizing  $Wj^n$  with a jet veto probably exceeds the signal.
- Be very cautious with neural nets that train on Monte Carlo for  $Wj^n$ ; uncertainties in the shape of  $Wj^n$  with one tag currently are of order half the signal.
- Be cautious about splitting 1-tag and 2-tag sample? (when a gluon splits, how often do you get 1 tag, how often 2?)

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- Single top is harder at Tevatron than thought; parity asymmetries and lepton-jet correlations almost save the day, but this is going to be very tough.

- $W$  plus jets is the problem, not  $t\bar{t}$ .

- Need community-wide effort to reduce its size and to constrain its shape and normalization. Only a coherent effort to bring  $Wj^n$  under control can make single top (and other physics!) accessible at the Tevatron.

- BOWEN: Single top is much easier at LHC than previously thought, using charge asymmetries. A precision measurement in both single-tag and double-tag will be possible, assuming not swamped by new physics.

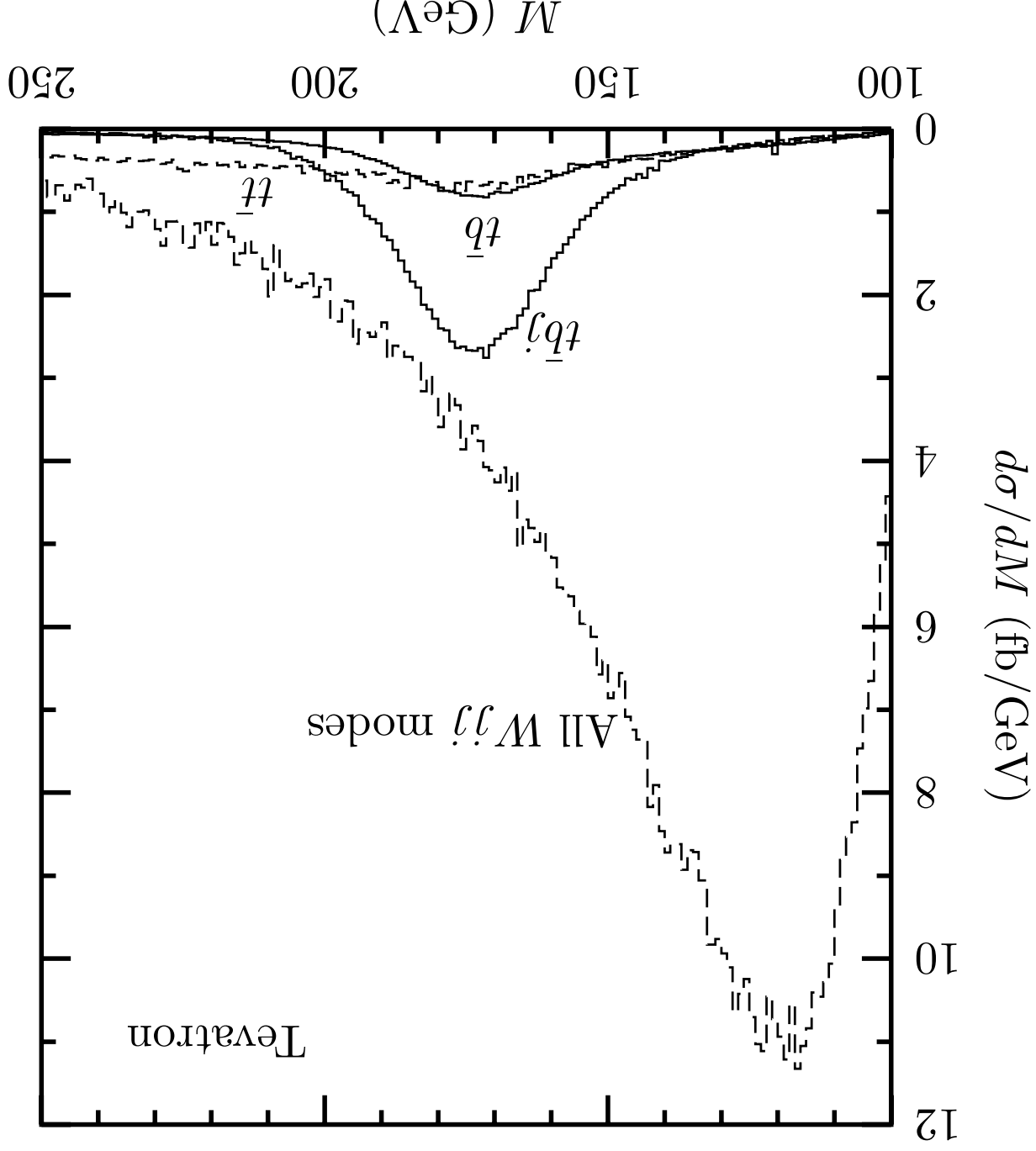
Extra Slides:

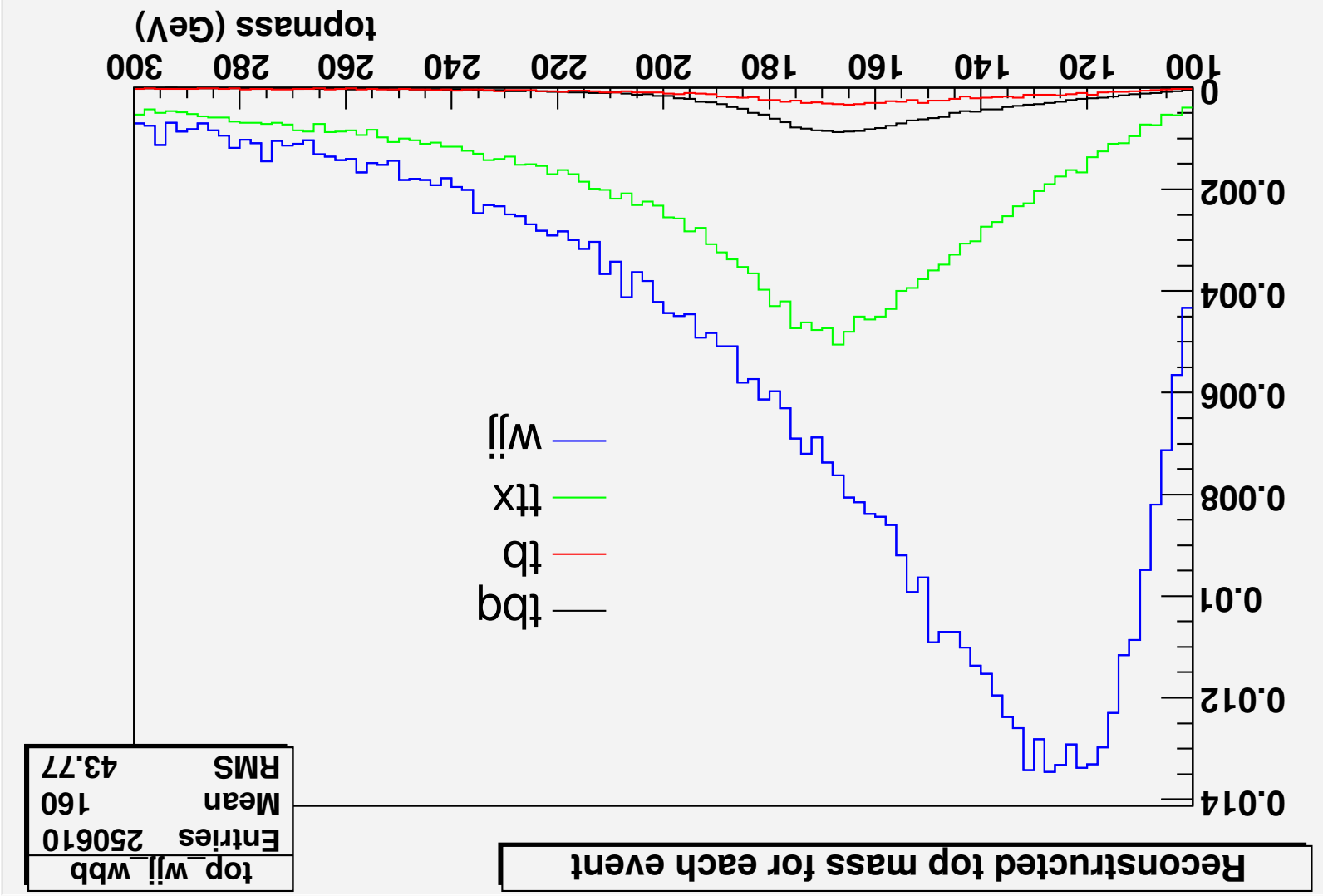


## Danger of > 2-Jet Veto

- 2-or-More jet calculation is completed to NLO.
    - LO is order  $\alpha^2(\mu)$
    - NLO is order  $\alpha^3(\mu)$  AND
      - is stable against varying  $\mu$ .
  - 3-or-More jet calculation is completed to LO,
    - order  $\alpha^3(\mu)$ .
    - NOT stable against varying  $\mu$ .
  - 2-only = (2-or-More) minus (3-or-More)
    - but we count using some dividing line at small scale  $\mu_{cut}$ .
    - Relative uncertainties are of order (3-or-More)/(2-only), of order  $\alpha_s(\mu_{cut})$  — not small!
- Error larger than for NLO 2-or-More.*

let's compare...





So, the actual signal to background situation looks vastly less promising than in SSW.

Can we cut harder?

Certainly we can try to introduce cuts that use the fact that  $W_j^n$  does have other differences, from the signal, but...

let's look at the plot of " $m_t$ "

