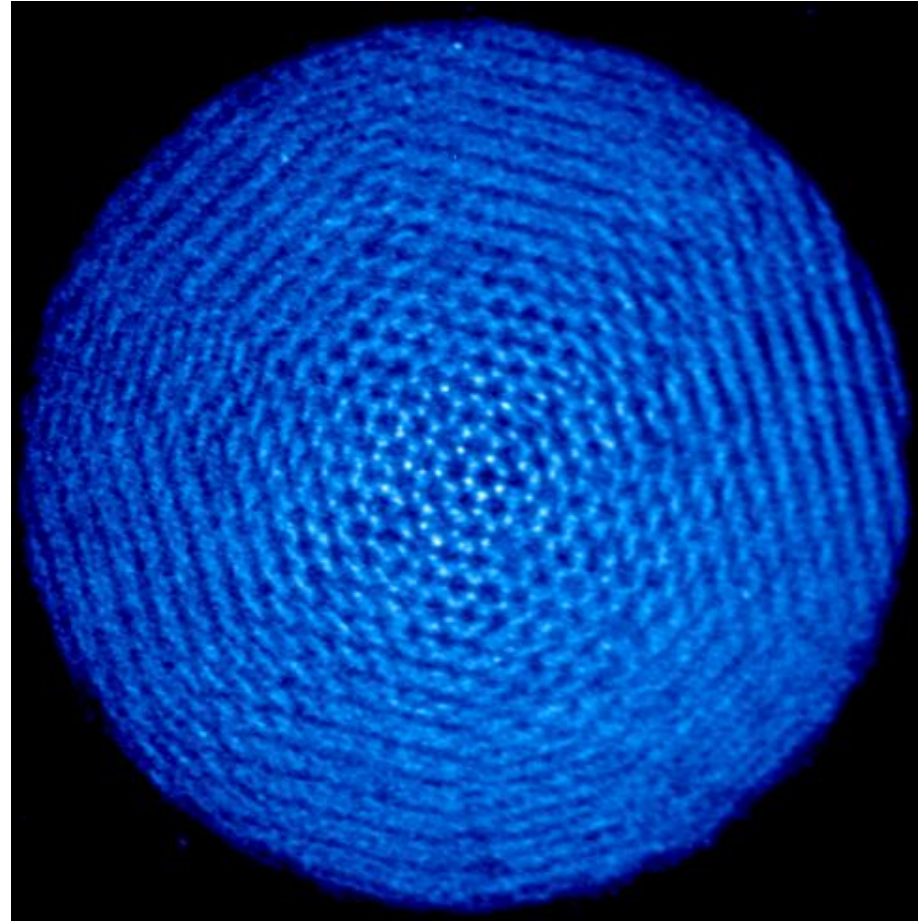


Ion Crystals and Liquids in Penning Traps

John Bollinger
NIST-Boulder
Ion storage group

Wayne Itano, David Wineland, Joseph Tan, Pei Huang, Brana Jelenkovic, Travis Mitchell, Brad King, Jason Kriesel, Marie Jensen, Taro Hasegawa, Nobuysau Shiga, Michael Biercuk, Hermann Uys, Joseph Britton, Dan Dubin – UCSD(theory)



NIST
National Institute of
Standards and Technology



Outline:

Introduction:

Penning traps – how do they work, thermal equilibrium, one-component plasmas, strong correlation

- Observation of crystalline structure:
Bragg scattering, real imaging, structural phase transitions
- Modes

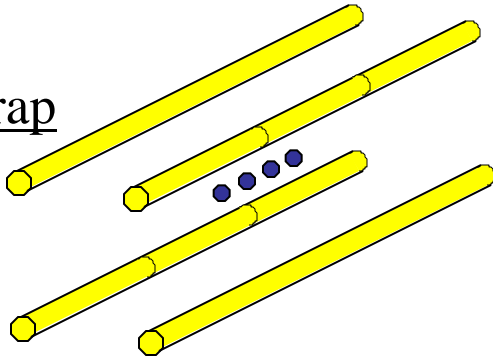
➔ No quantum mechanics; everything in this talk uses classical physics

➔ Please ask questions!!

➔ Ref: Dubin and O'Neil, Rev. Mod. Physics **71**, 87 (1999)

Types of traps in atomic physics

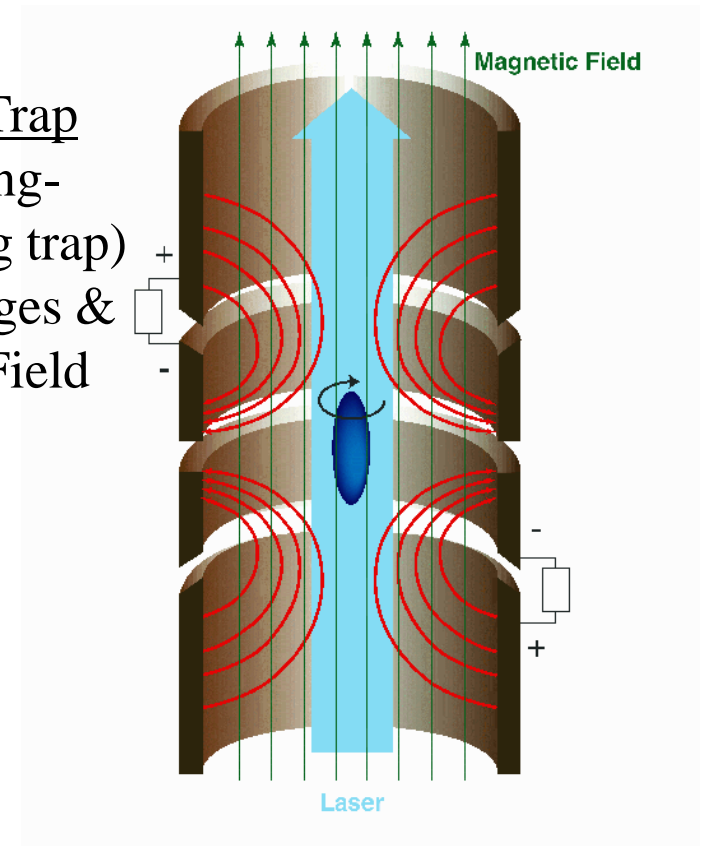
Rf or Paul Trap
RF & DC
Voltages



(see Monroe lectures)

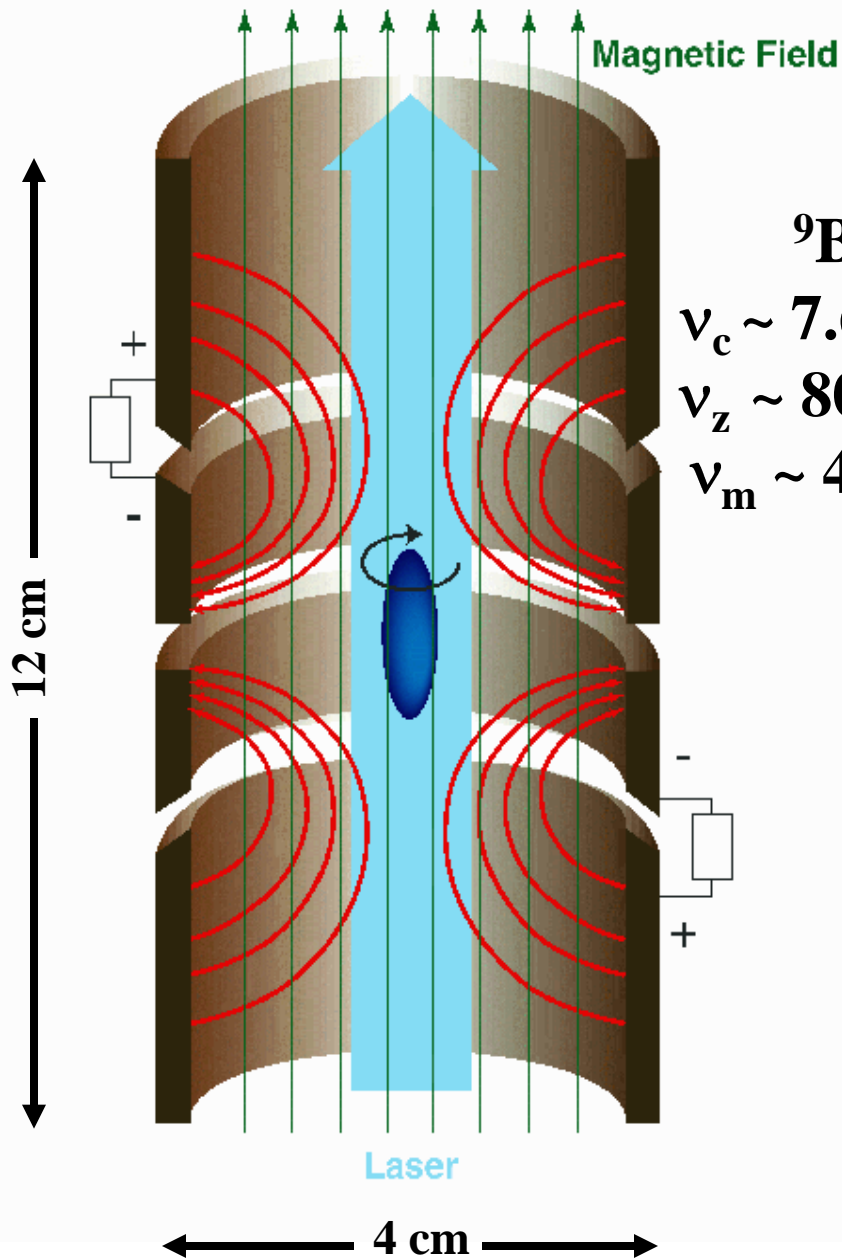
good for tight confinement
and laser cooling smaller
numbers of particles

Penning Trap
(or Penning-
Malmberg trap)
DC Voltages &
Static B-Field



good for laser cooling larger
numbers of particles

Penning –Malmberg traps



**g-factors,
atomic phys.**

— U of Wash., Mainz,
Imperial College,
NIST, ..

**mass
spectroscopy**

— U of Wash., Harvard,
ISOLDE/CERN, ...

cluster studies

— Mainz/Griefswald

**non-neutral
plasmas**

— UCSD, Berkeley,
Princeton, NIST, ...

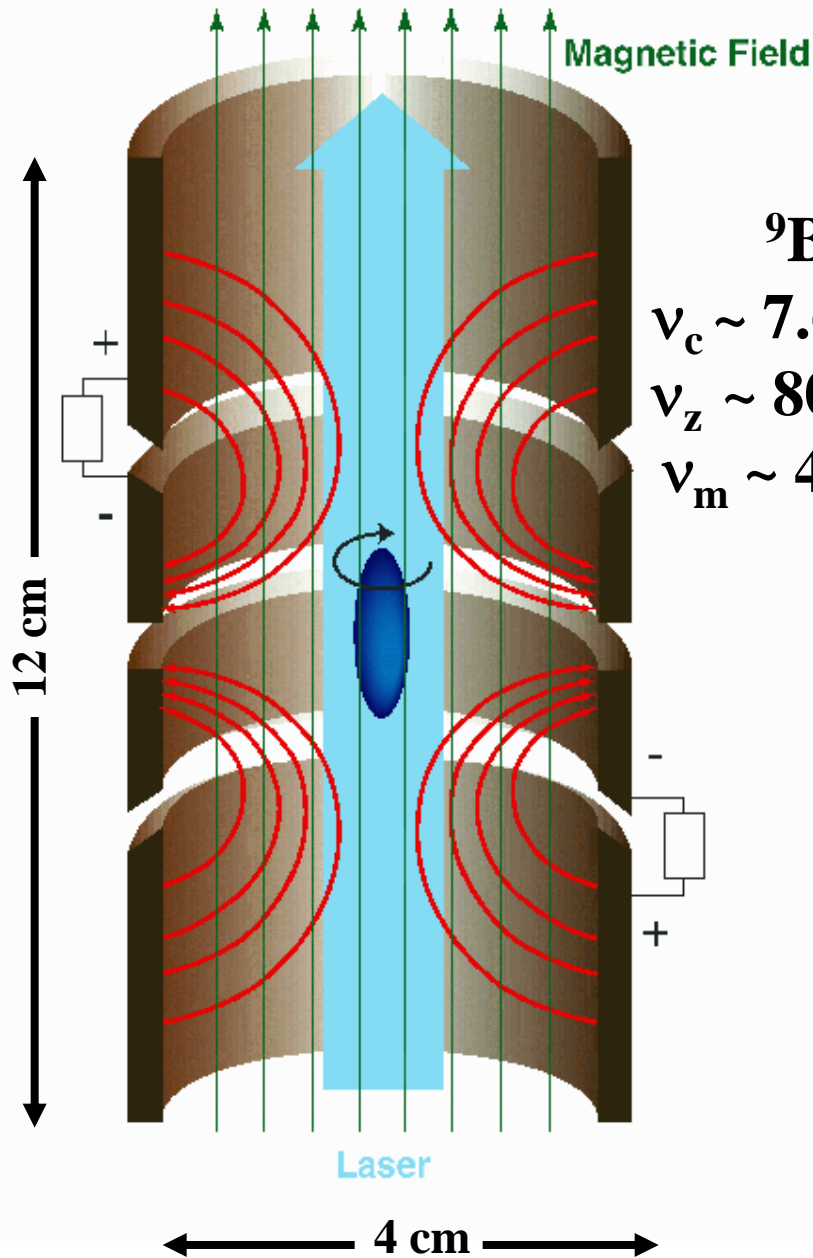
anti-matter

— UCSD, Harvard,
CERN, Swansea, ...

**quantum
information/simulation**

— NIST, Imperial
College, Harvard,
Mainz/Ulm,

Single particle motion in a Penning trap



${}^9\text{Be}^+$
 $\nu_c \sim 7.6 \text{ MHz}$
 $\nu_z \sim 800 \text{ kHz}$
 $\nu_m \sim 40 \text{ kHz}$

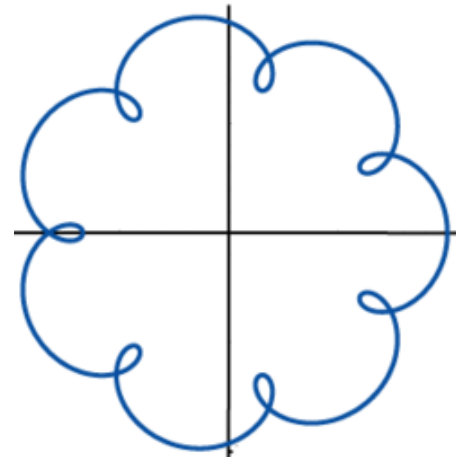
For $r, z \ll$ trap dimensions,

$$\varphi_{\text{trap}}(r, z) \approx \frac{1}{2} m \omega_z^2 \left(z^2 - \frac{r^2}{2} \right)$$

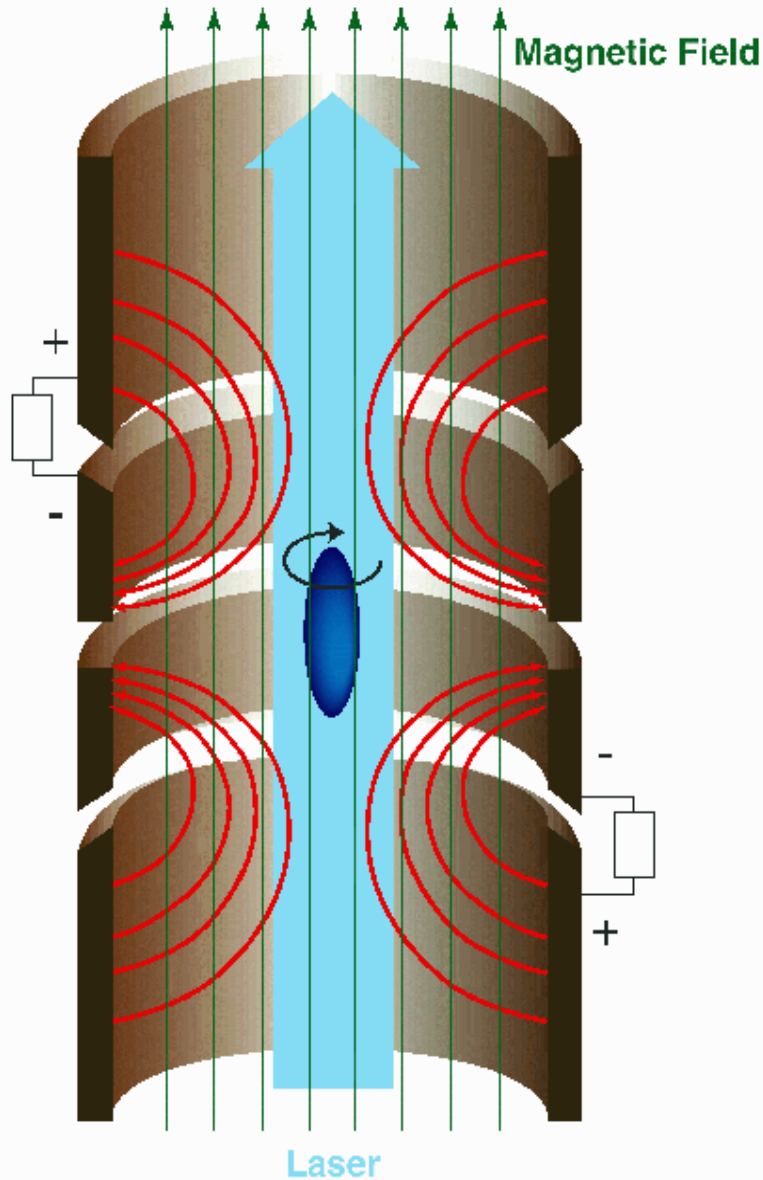
$$z(t) = z_o \sin(2\pi\nu_z t + \varphi_z)$$

$$r(t) = r_c \sin \left[\pi(\nu_c - \nu_m)t + \phi_c \right]$$

$$r_m \sin(2\pi\nu_m t + \phi_m)$$



Confinement in a Penning trap



axial confinement \leftrightarrow
conservation of energy

radial confinement \leftrightarrow
conservation of angular momentum

$$P_{\theta} = \sum_j \left(m v_{\theta j} + \frac{q}{c} A_{\theta}(r_j) \right) r_j$$

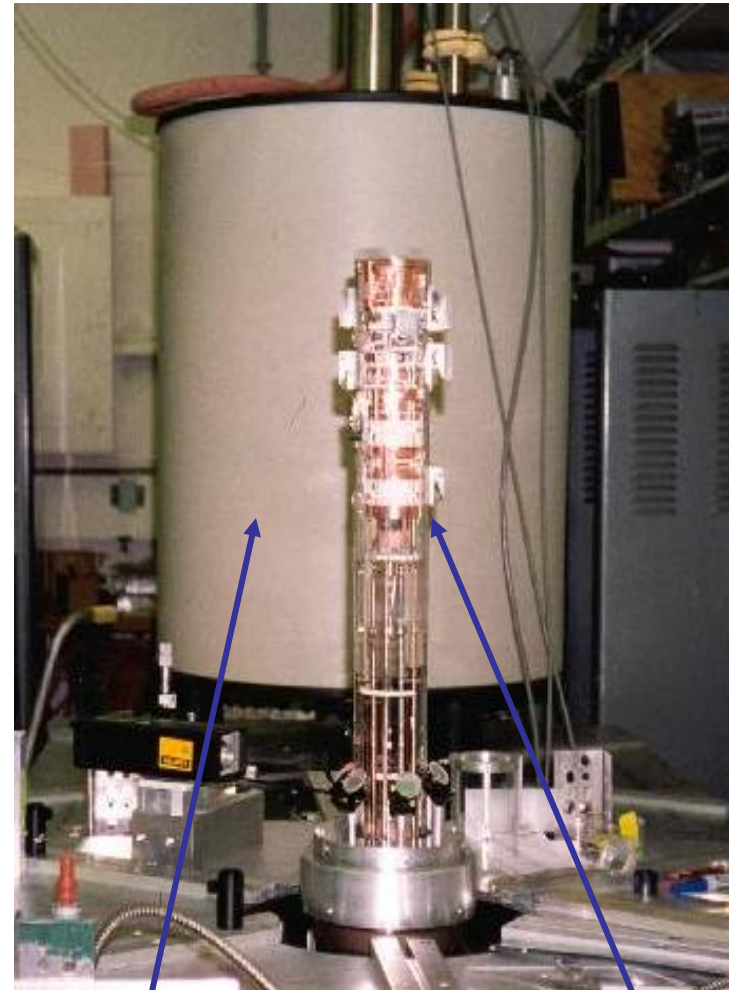
$$\approx \frac{qB}{2c} \sum_j r_j^2 \text{ for } A_{\theta}(r) = \frac{Br}{2} \text{ and } B \text{ large}$$

\Rightarrow axial asymmetries important -
magnetic field tilt

radial confinement due to rotation -
ion plasma rotates $v_{\theta} = \omega_r r$ due to $\mathbf{E} \times \mathbf{B}$ fields

in rotating frame, $v_{\theta} \hat{\theta} \times B \hat{z}$ Lorentz force is
directed radially inward

NIST Penning trap



4.5 Tesla
Super Conducting
Solenoid

Quartz
Vacuum envelope
 $P < 10^{-10}$ Torr

Non-neutral plasmas in traps evolve into **bounded thermal equilibrium states**

thermal equilibrium, Hamiltonian and total canonical angular momentum conserved \Rightarrow

$$f(\mathbf{r}, \mathbf{v}) \propto \exp[-(h + \omega_r p_\theta)/k_B T]$$

where $h = \frac{mv^2}{2} + e\phi(\mathbf{r})$ and $p_\theta = mv_\theta r + \frac{eB}{2c} r^2$

$$f(\mathbf{r}, \mathbf{v}) \propto n(r, z) \exp[-\frac{m}{2k_B T} (\mathbf{v} + \omega_r r \hat{\theta})^2]$$

density distribution plasma rotates rigidly at frequency ω_r

$$n(r, z) \propto \exp\left\{-\frac{1}{k_B T} [e\phi_p(r, z) + e\phi_T(r, z) + m\omega_r (\Omega_c - \omega_r) \frac{r^2}{2}]\right\}$$

$\Omega_c = eB/mc =$
cyclotron frequency

plasma
potential

trap
potential

Lorentz
force
potential

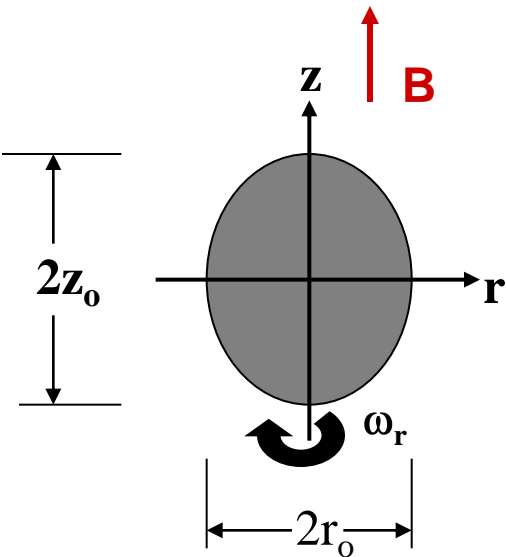
centrifugal
potential

Lorentz-force potential gives radial confinement !!

Equilibrium plasma properties

thermal equilibrium \Rightarrow rigid rotation ω_r

- $T \sim 0 \Rightarrow$ constant plasma density,
 $n_0 = 2\epsilon_0 m \omega_r (\Omega_c - \omega_r) / e^2$,
 $\Omega_c =$ cyclotron frequency
plasma density $\rightarrow 0$ over a Debye length $\lambda_D = [k_B T / (4\pi n_0 e^2)]^{1/2}$
- quadratic trap potential, $e\phi_T \sim m\omega_z^2(z^2 - r^2/2) \Rightarrow$ plasma shape is a spheroid



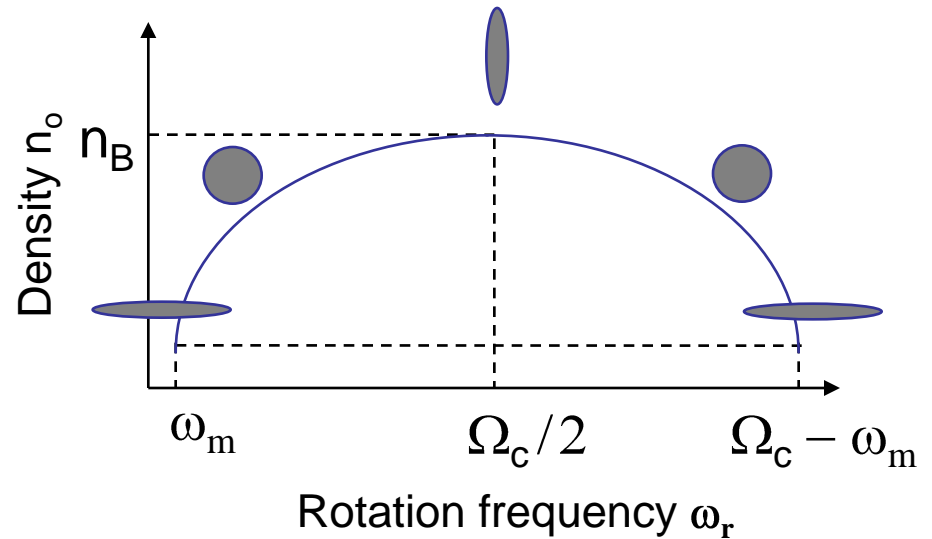
aspect ratio $\alpha \equiv z_0/r_0$
determined by ω_r

$$\frac{\omega_z^2}{2\omega_r(\Omega_c - \omega_r)} = Q_1^0 \left[\frac{\alpha}{(\alpha^2 - 1)^{1/2}} \right] / (\alpha^2 - 1)^{1/2}$$

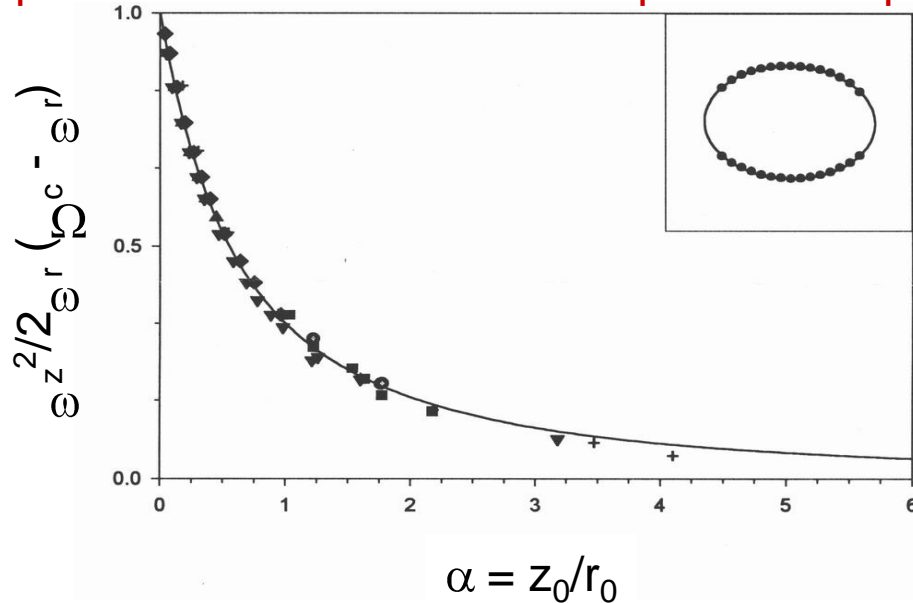
associated Legendre function

Plasma aspect ratio determined by ω_r

$$\frac{\omega_z^2}{2\omega_r(\Omega_c - \omega_r)} = \frac{Q_1^0 \left[\frac{\alpha}{(\alpha^2 - 1)^{1/2}} \right]}{(\alpha^2 - 1)^{1/2}}$$



experimental measurements of plasma shape vs ω_r



Simple equilibrium theory describes the plasma shapes

Ions in a trap are an example of a one component plasma

one component plasma (OCP) – consists of a single species of charged particles immersed in a neutralizing background charge

ions in a trap are an example of an OCP (Malmberg and O'Neil PRL 39, (77))

$$n(r, z) \propto \exp\left\{-\frac{1}{k_B T} \left[e\phi_p(r, z) + e\phi_T(r, z) + m\omega_r(\Omega_c - \omega_r)\frac{r^2}{2} \right]\right\}$$

looks like neutralizing background

$$\nabla^2 \left\{ \phi_T(r, z) + \frac{1}{e} m\omega_r(\Omega_c - \omega_r)\frac{r^2}{2} \right\} = -4\pi e n_{bkgnd}$$

$$n_{bkgnd} = -\frac{m\omega_r(\Omega_c - \omega_r)}{2\pi e^2}$$

thermodynamic state of an OCP determined by:

$$\Gamma \equiv \frac{q^2}{a_{WS} k_B T}, \quad \frac{4}{3} \pi a_{WS}^3 n \equiv 1 \quad \Gamma \approx \frac{\text{potential energy between neighboring ions}}{\text{ion thermal energy}}$$

$\Gamma > 1 \Rightarrow$ strongly coupled OCP

Why are strongly coupled OCP's interesting?

Strongly coupled OCP's are models of dense astrophysical matter –
example: outer crust of a neutron star

For an infinite OCP, $\Gamma > 2 \Rightarrow$ liquid behavior

$\Gamma \sim 173 \Rightarrow$ liquid-solid phase transition to bcc lattice

Brush, Salin, Teller (1966) $\Gamma \sim 125$

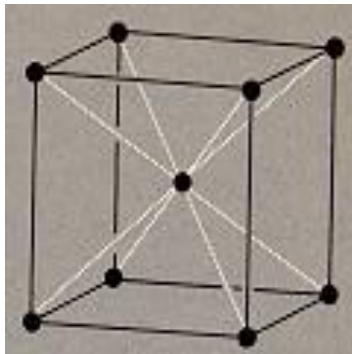
Hansen (1973) $\Gamma \sim 155$

Slatterly, Doolen, DeWitt (1980) $\Gamma \sim 168$

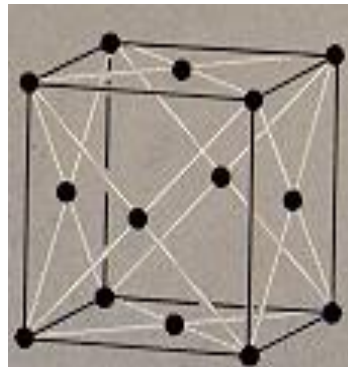
Ichimaru; DeWitt; Dubin (87-93) $\Gamma \sim 172-174$

Coulomb energies/ion of bulk bcc, fcc, and hcp lattices differ by $< 10^{-4}$

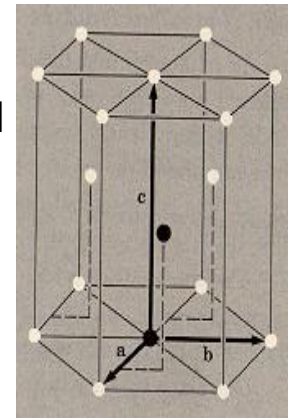
body
centered
cubic



face
centered
cubic



hexagonal
close
packed

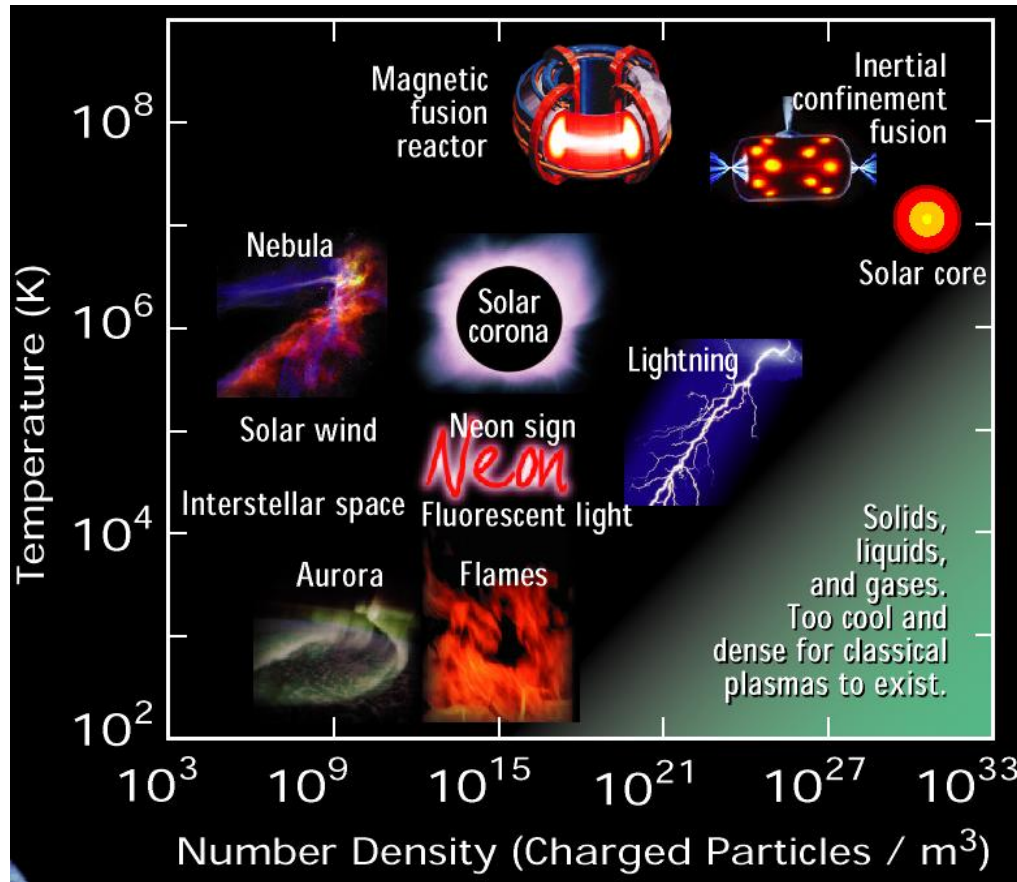


with trapped ions, $n_0 \sim 10^9 \text{ cm}^{-3}$

$T < 5 \text{ mK}$

$\Rightarrow \Gamma > 500$

Plasmas vs strongly coupled plasmas



White Dwarf Interiors
Neutron Star Crusts

Increasing Correlation Γ

$\Gamma = 2$

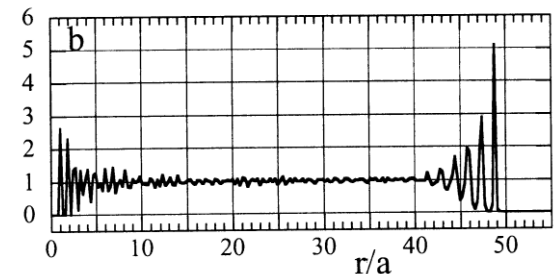
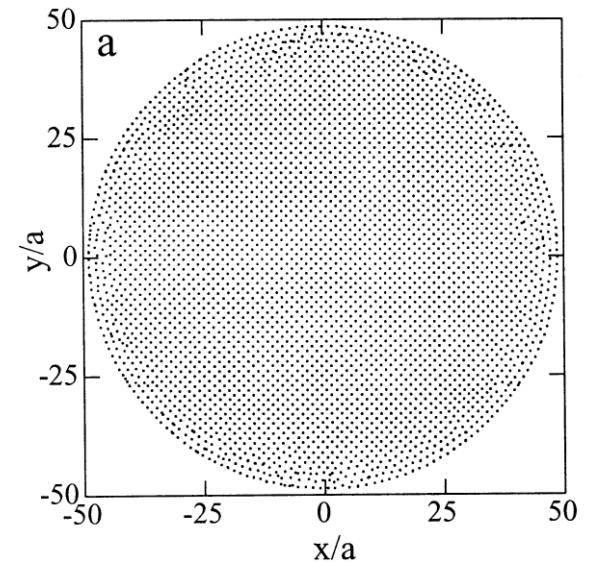
$\Gamma = 175$

Laser-cooled ion crystals

How large must a plasma be to exhibit a bcc lattice?

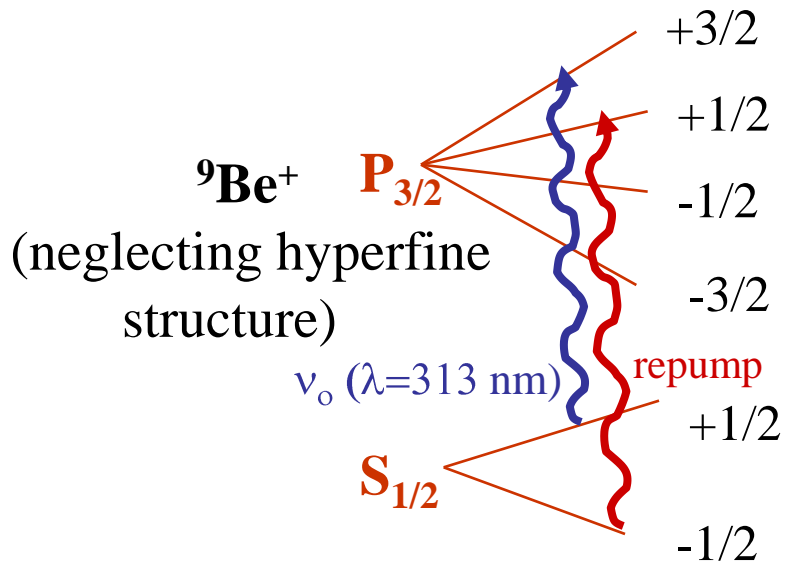
1989 - Dubin, planar model [PRA 40, 1140 \(89\)](#)
result: plasma dimensions ≥ 60 interparticle
spacings required for bulk behavior
 $N > 10^5$ in a spherical plasma \Rightarrow bcc lattice

2001 – Totsji, simulations, spherical
plasmas, $N \leq 120$ k
[PRL 88, 125002 \(2002\)](#)
result: $N > 15$ k in a spherical plasma
 \Rightarrow bcc lattice



Experimental techniques:

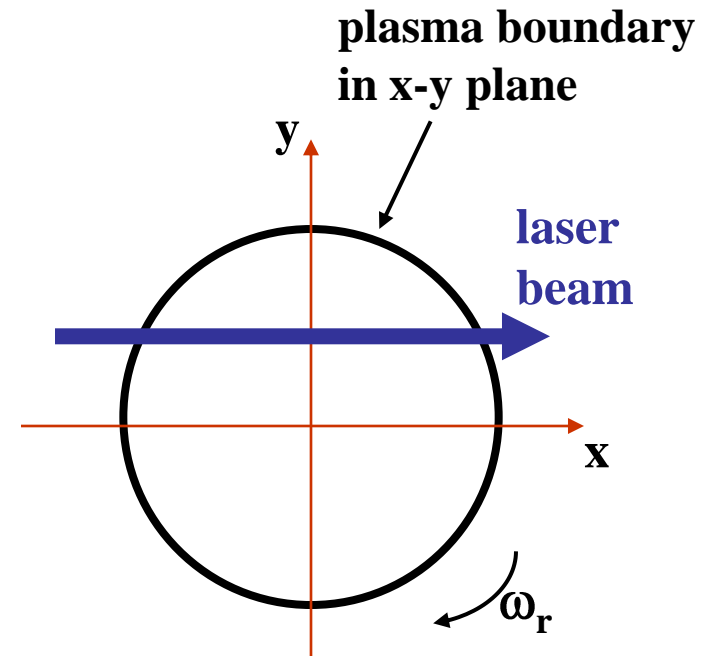
Doppler laser cooling and resonance fluorescence detection



$$T_{\min}({}^9\text{Be}^+) \sim 0.5 \text{ mK}$$

$$T_{\text{measured}} < 1 \text{ mK}$$

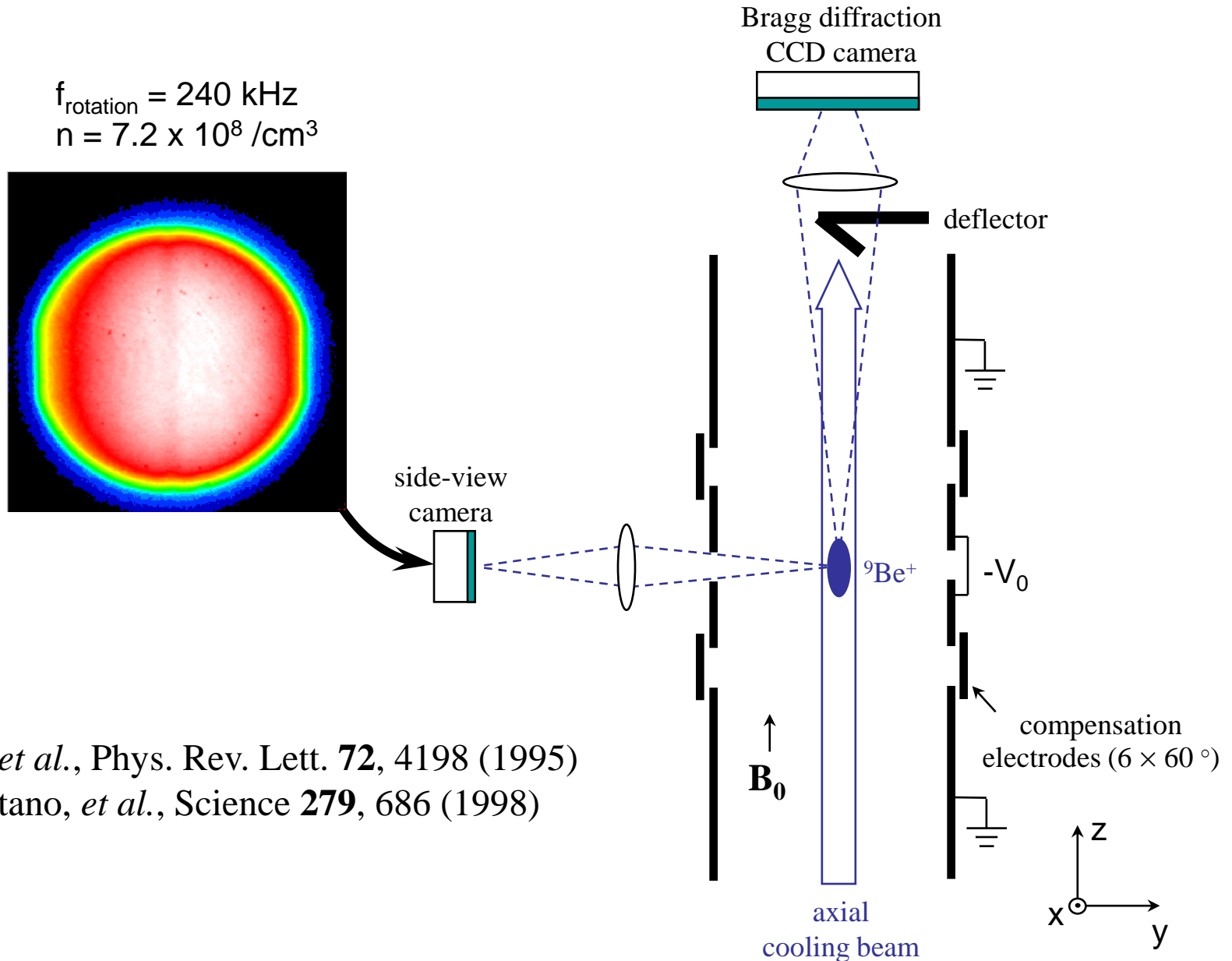
Laser torque



The laser beam position and frequency control the torque and ω_r

With the laser beam directed as shown, increasing torque \Rightarrow increasing $\omega_r \Rightarrow$ decreasing radius

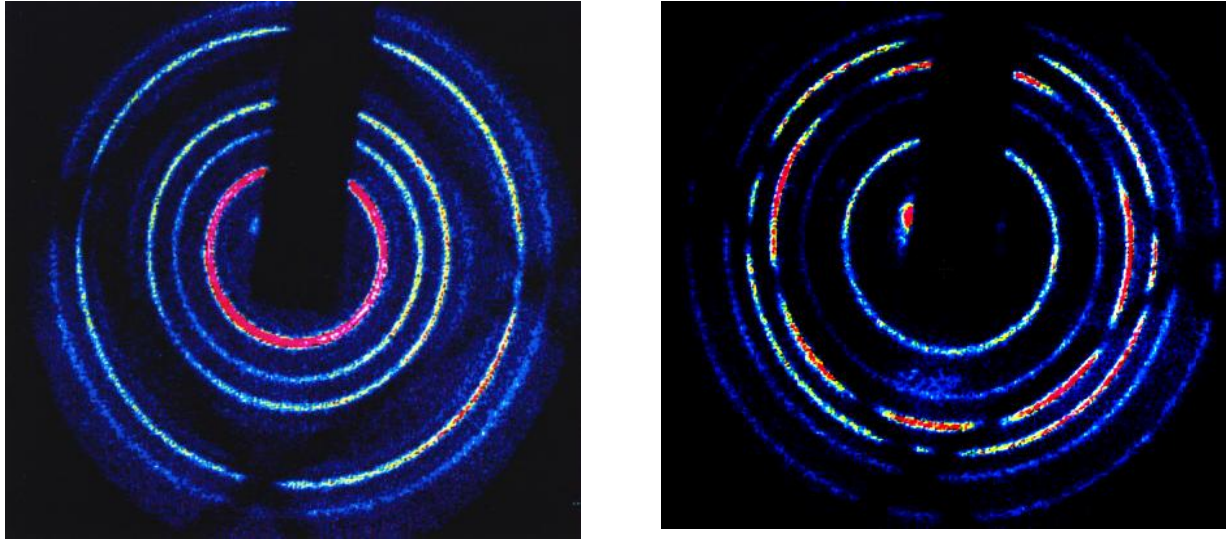
Evidence for bcc crystals: Bragg scattering



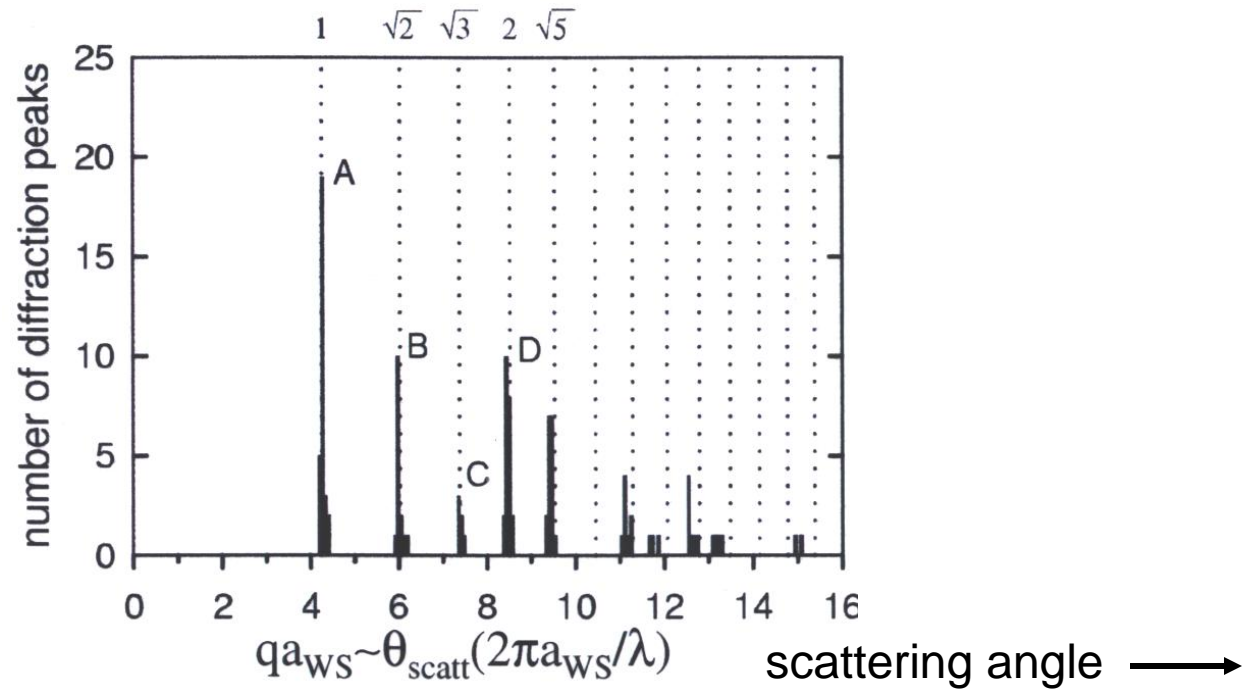
J.N. Tan, *et al.*, Phys. Rev. Lett. **72**, 4198 (1995)

W.M. Itano, *et al.*, Science **279**, 686 (1998)

Bragg scattering from spherical plasmas with $N \sim 270$ k ions

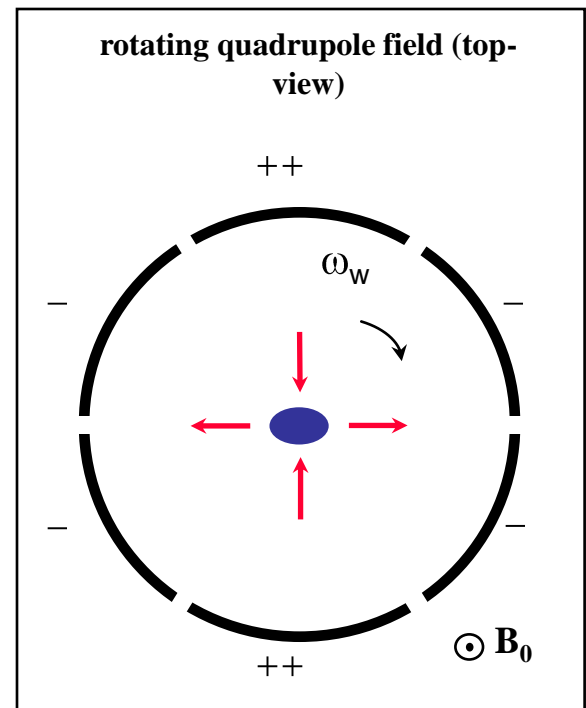
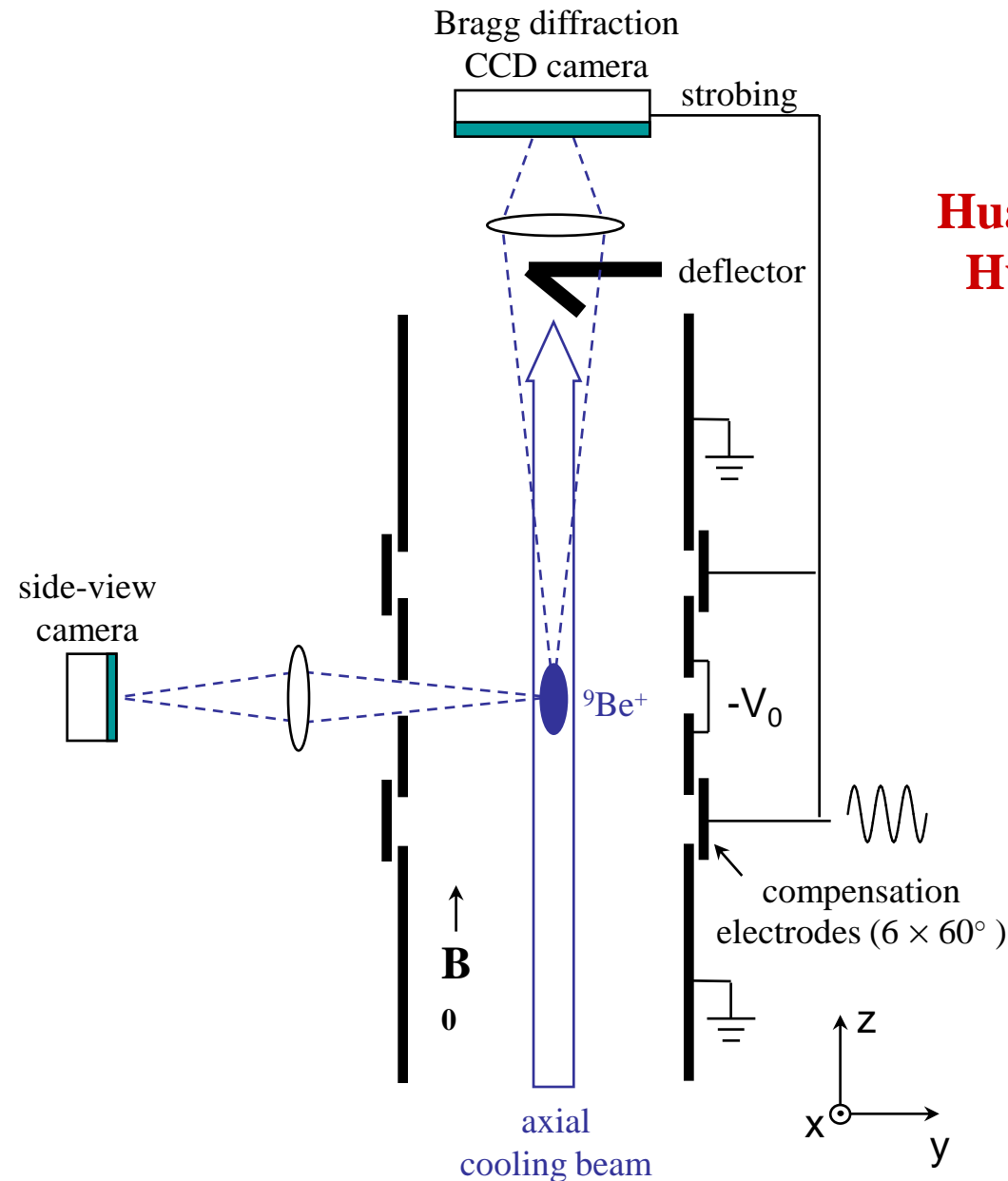


Evidence for
bcc crystals



Rotating wall control of the plasma rotation frequency

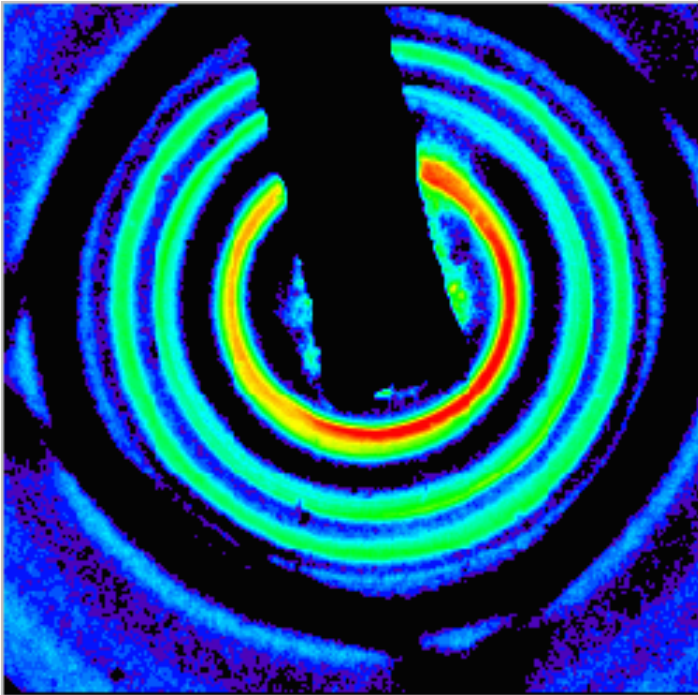
Huang, *et al.* (UCSD), PRL 78, 875 (97)
Huang, *et al.* (NIST), PRL 80, 73 (98)



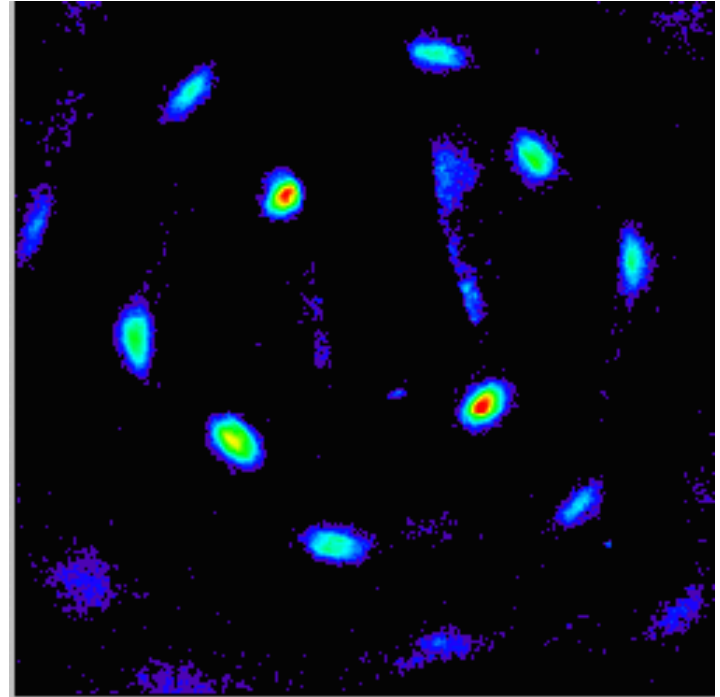
Phase-locked control of the plasma rotation frequency

Huang, *et al.*, Phys. Rev. Lett. 80, 73 (98)

time averaged Bragg scattering



camera strobed by the rotating wall

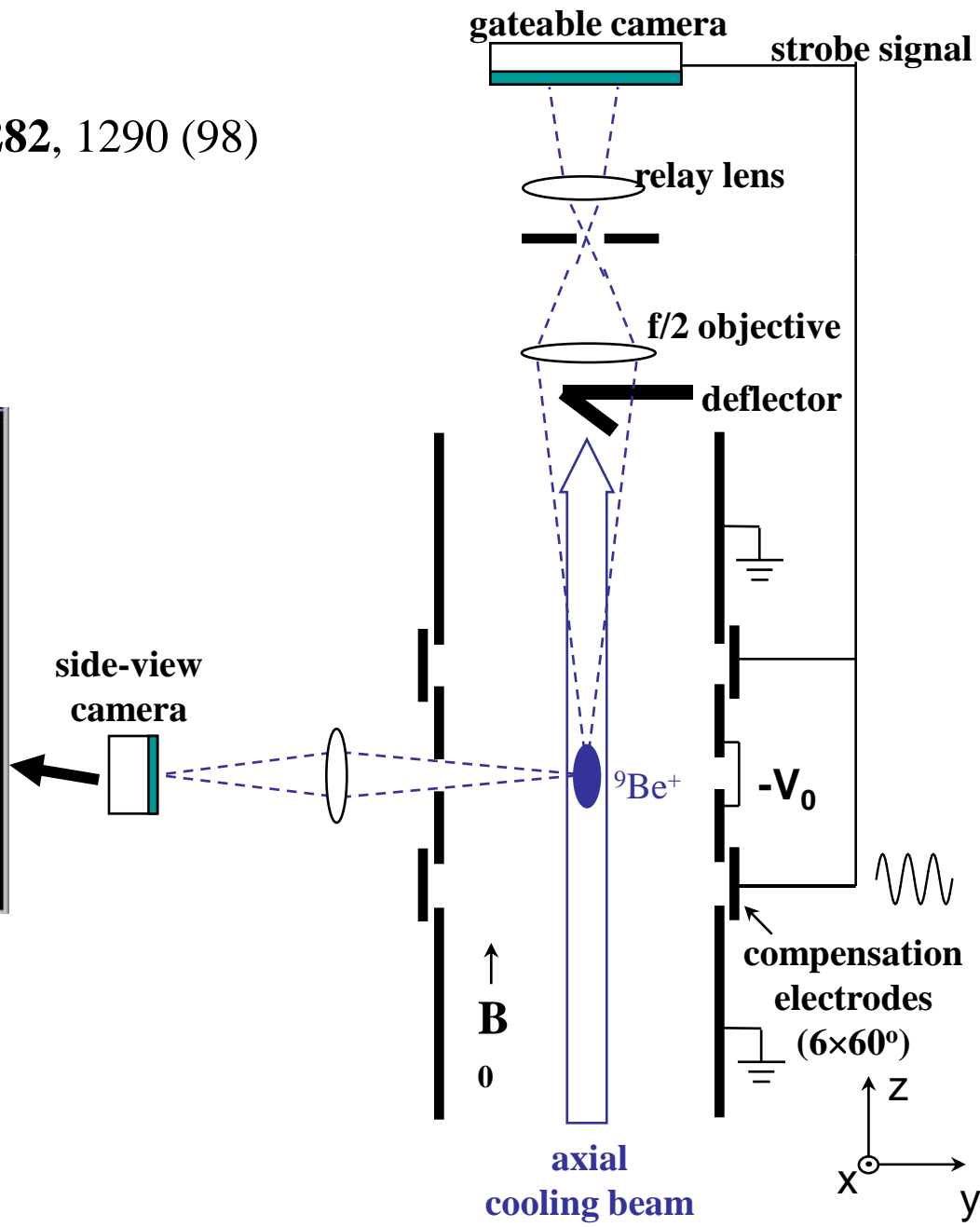
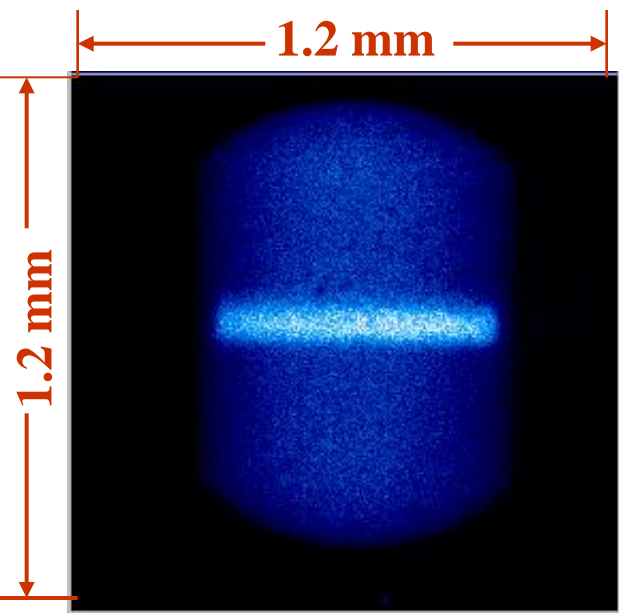


$N > 200,000$ ions \Rightarrow always observe bcc crystalline patterns

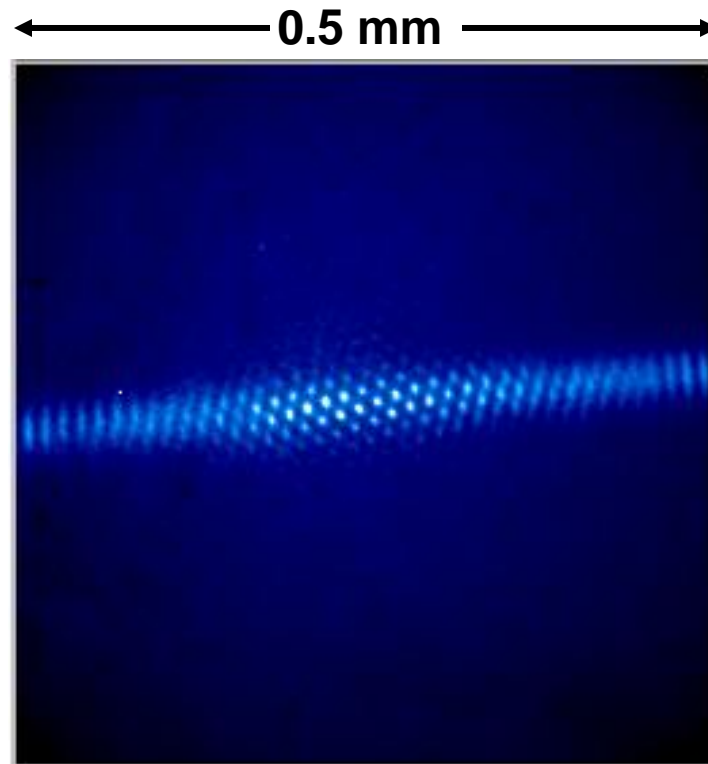
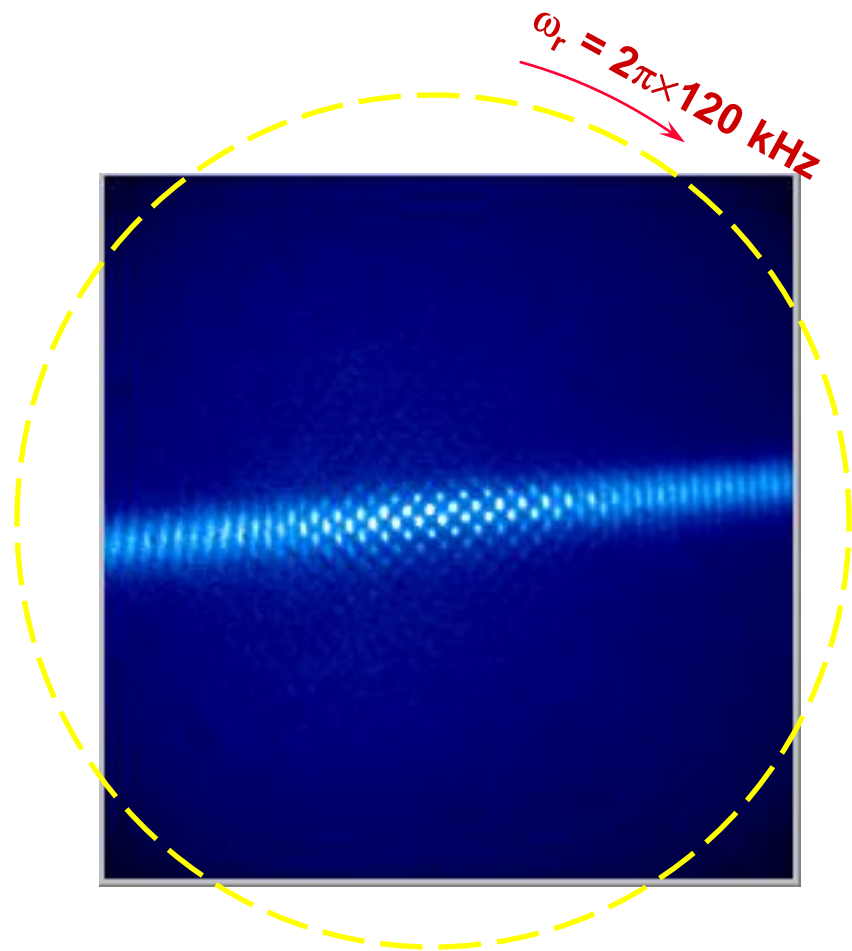
- $100,000 > N > 20,000 \Rightarrow$ observe fcc, hcp?, in addition to bcc

Real space imaging

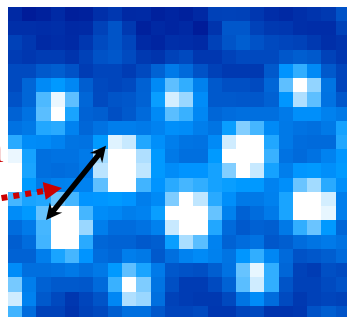
Mitchell. *et al.*, Science **282**, 1290 (98)



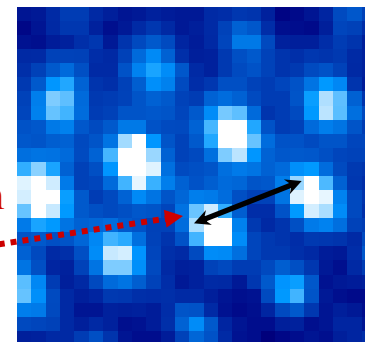
Top-view images in a spherical plasma of ~180,000 ions



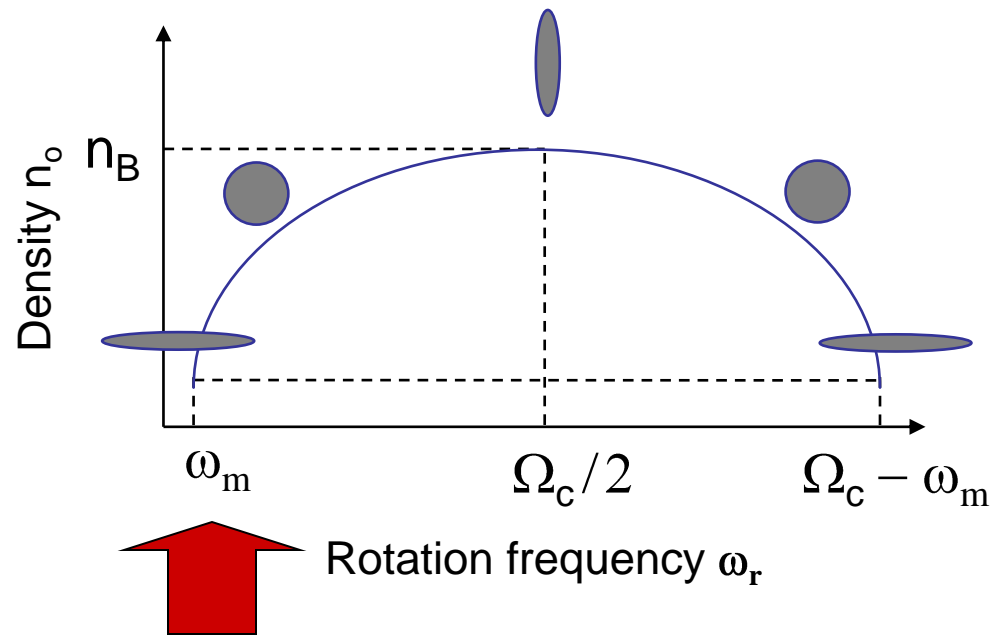
bcc (100) plane
predicted spacing: $12.5 \mu\text{m}$
measured: $12.8 \pm 0.3 \mu\text{m}$



bcc (111) plane
predicted spacing: $14.4 \mu\text{m}$
measured: $14.6 \pm 0.3 \mu\text{m}$

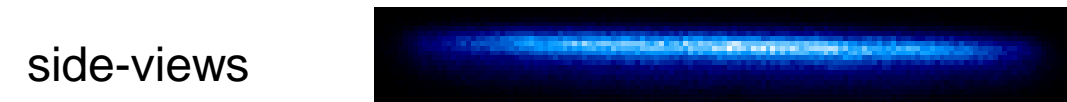
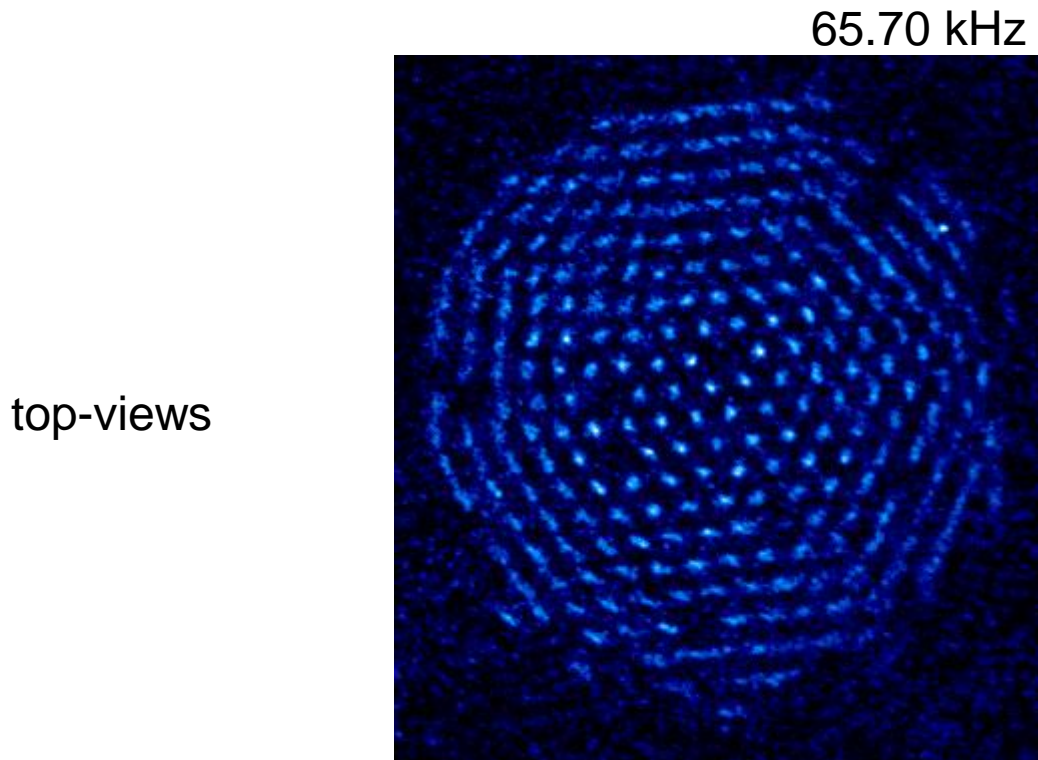


Real-space images with planar plasmas

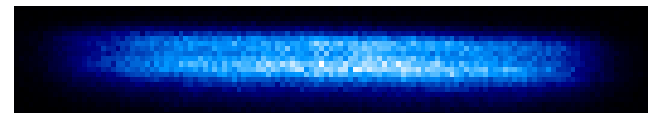
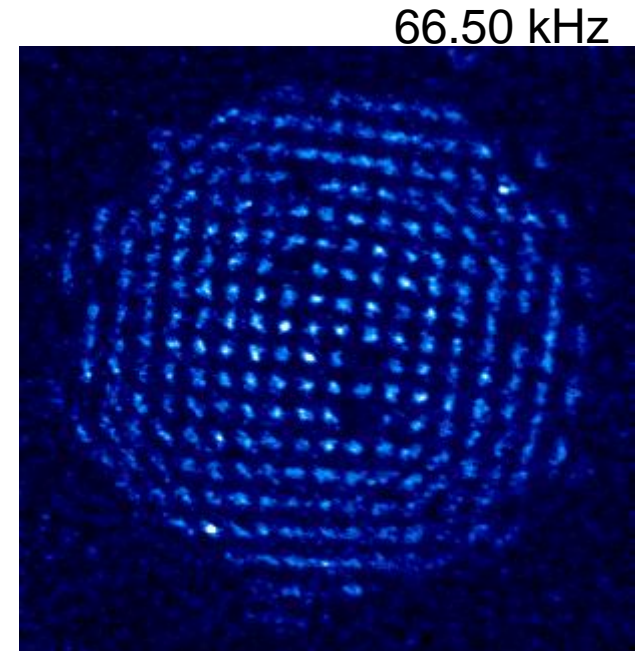


with planar plasmas all the ions can reside within the depth of focus

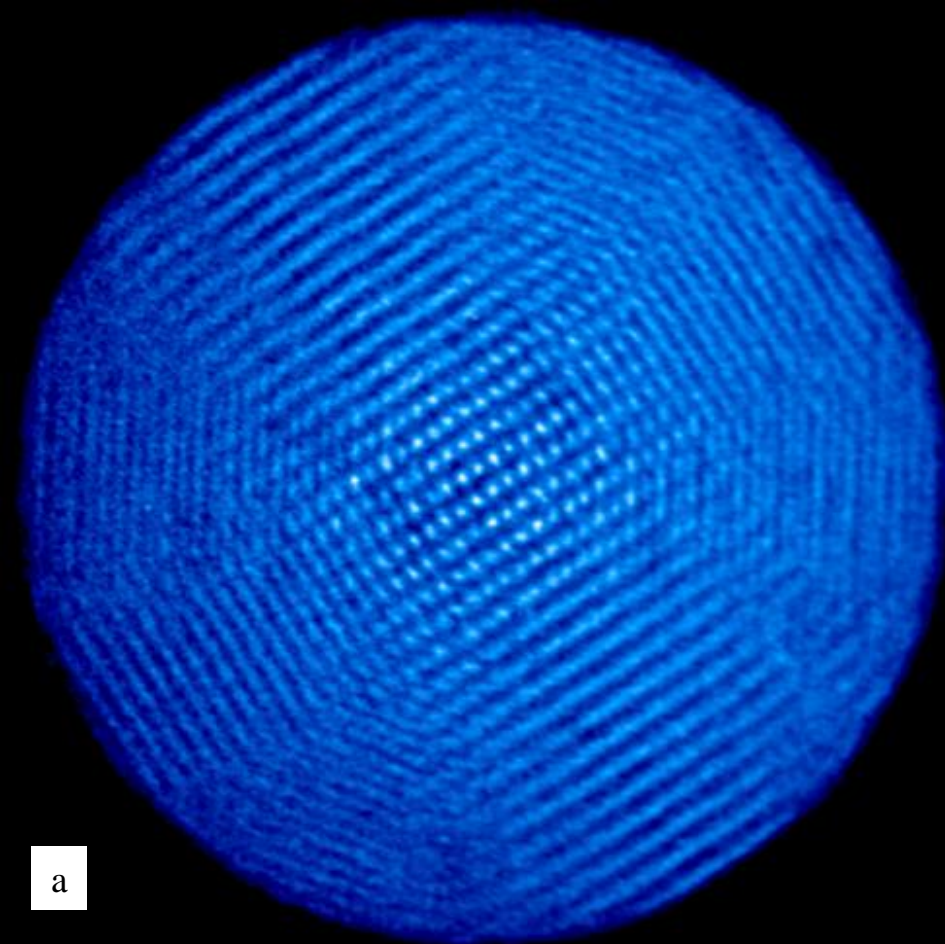
Planar plasmas: observation of structural phase transitions



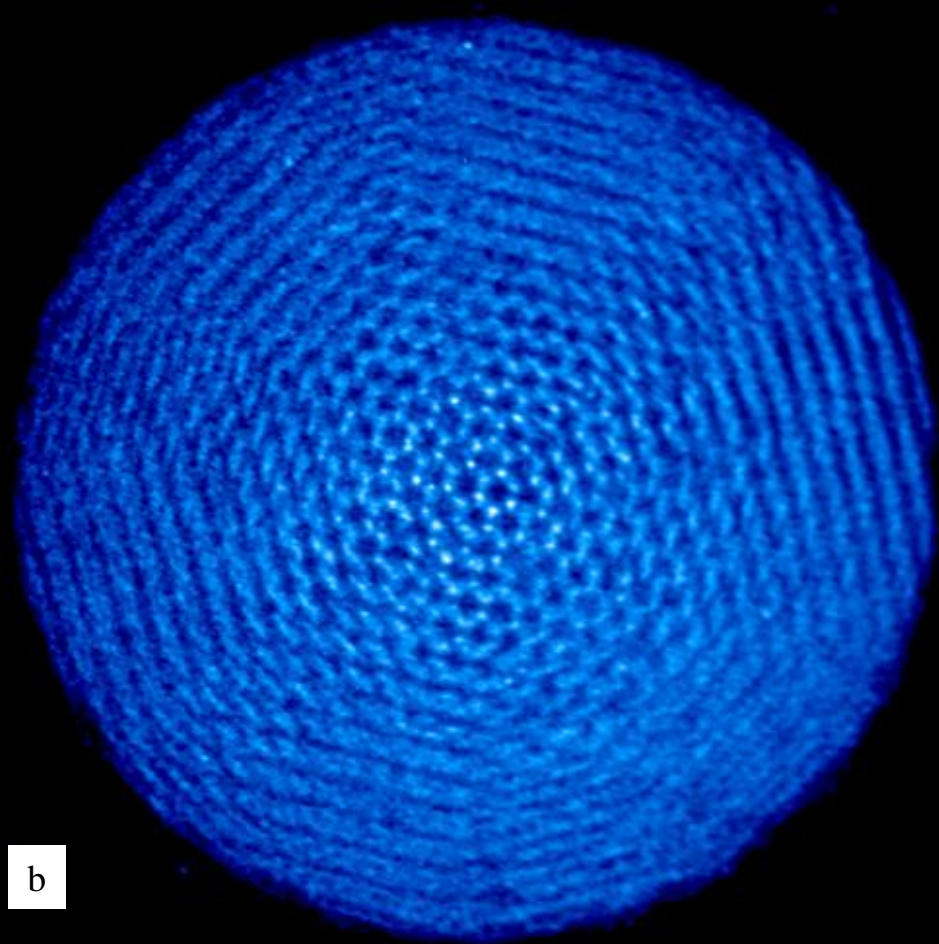
1 lattice plane, hexagonal order
used in current quantum
information experiments



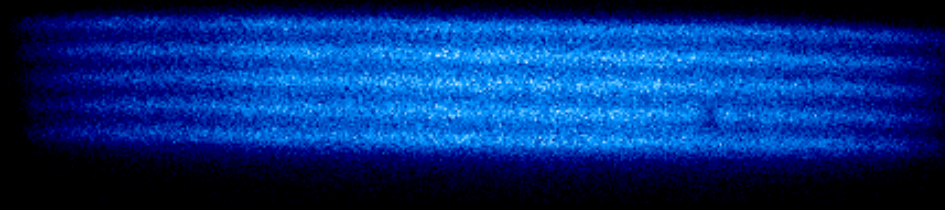
2 planes, cubic order



a



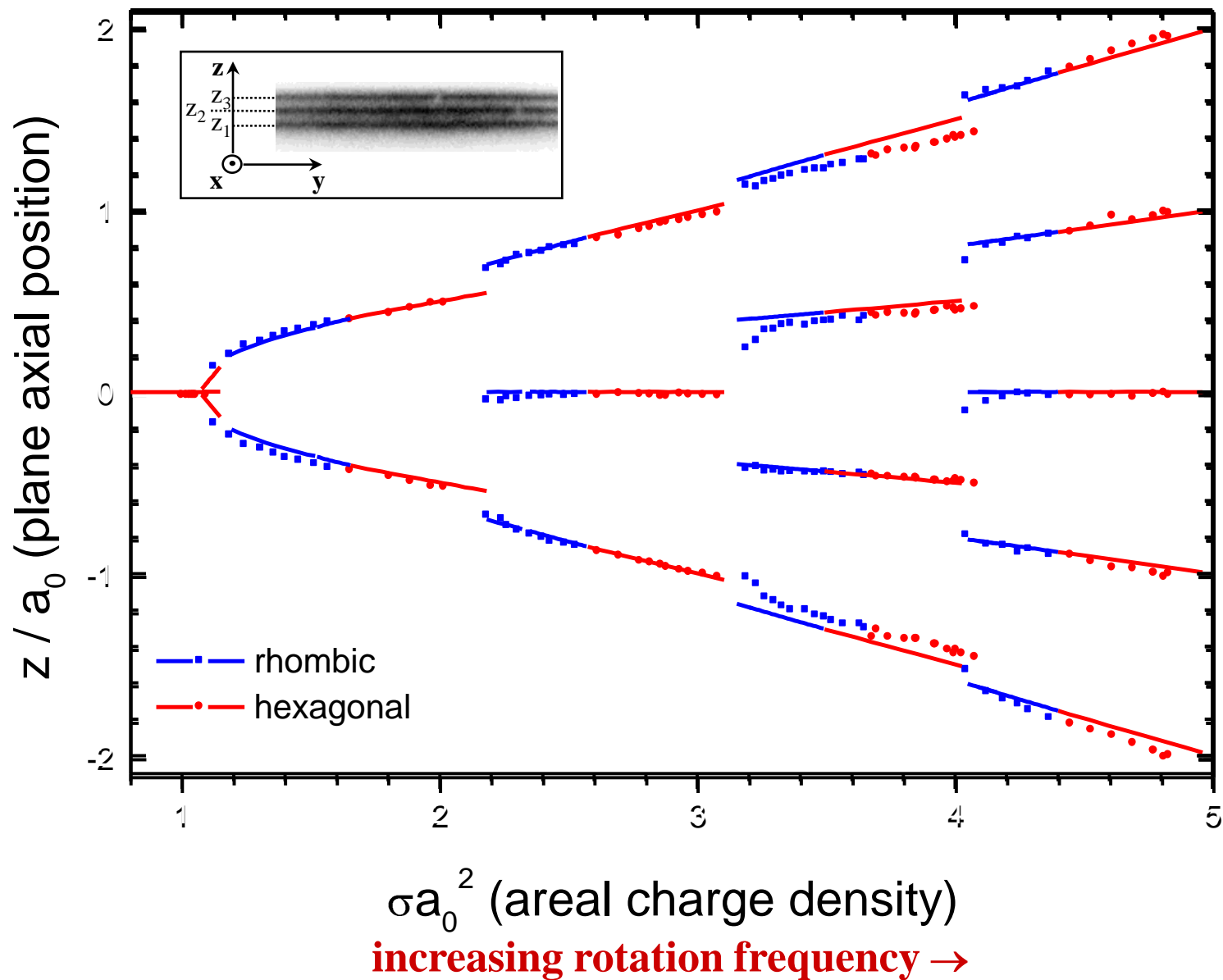
b



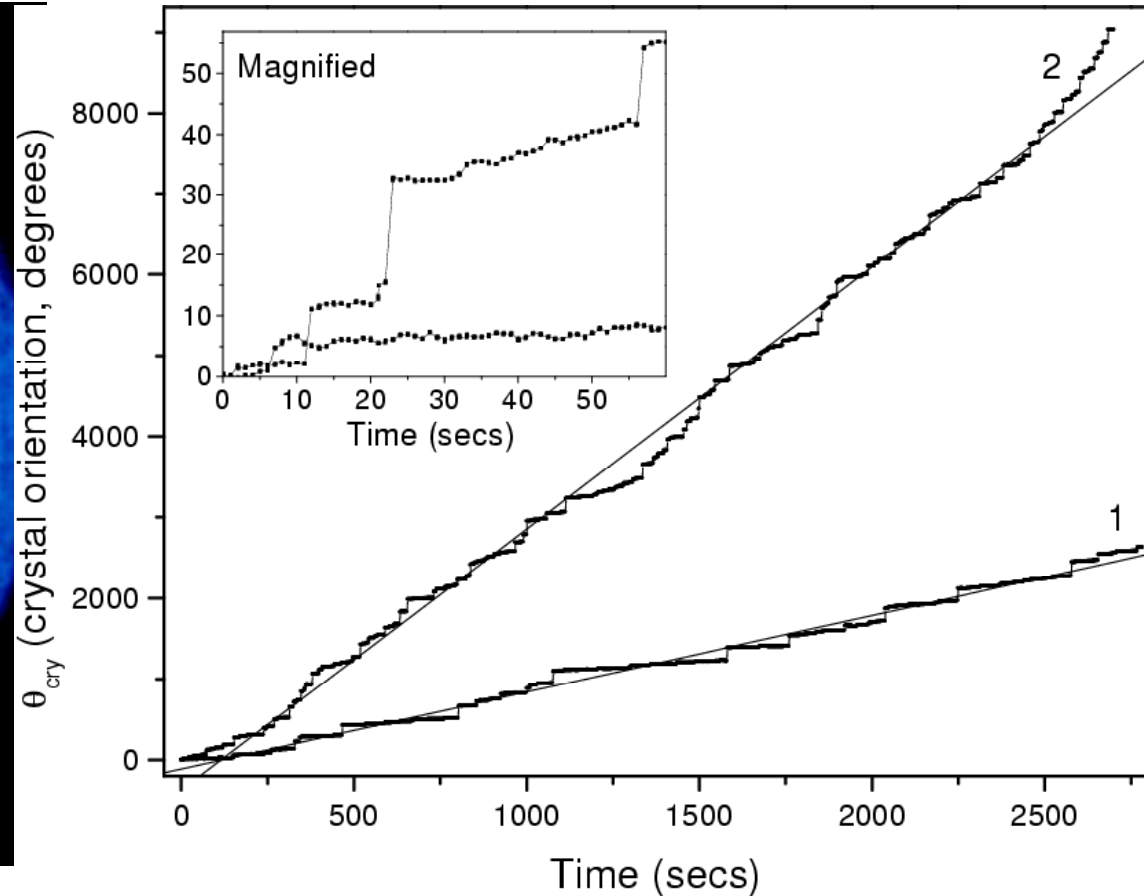
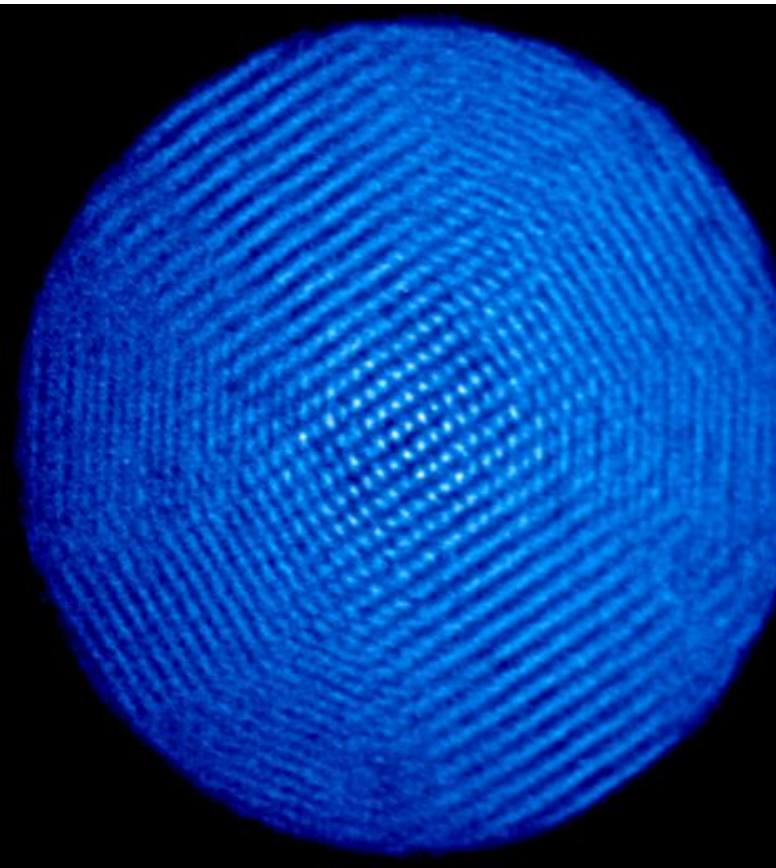
c

Top- (a,b) and side-view (c) images of crystallized ${}^9\text{Be}^+$ ions contained in a Penning trap. The energetically favored phase structure can be selected by changing the density or shape of the ion plasma. Examples of the (a) staggered rhombic and (b) hexagonal close packed phases are shown.

Theoretical curve from Dan Dubin, UCSD



Stick-slip motion of the crystal rotation



not a true phase lock!

- frequency offset ($\omega_r - \omega_{\text{wall}}$) due to creep of 2 -18 mHz
- regions of phase-locked separated by sudden slips in the crystal orientation
- stick-slip motion due to competition between \perp laser and rotating wall torques
- mean time between slips ~ 10 s; what triggers the slips?

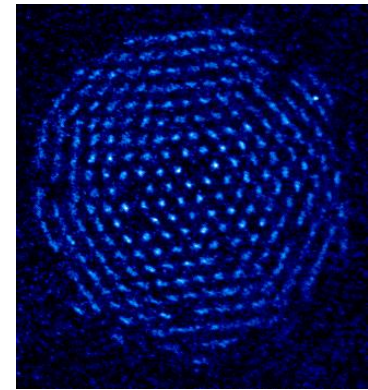
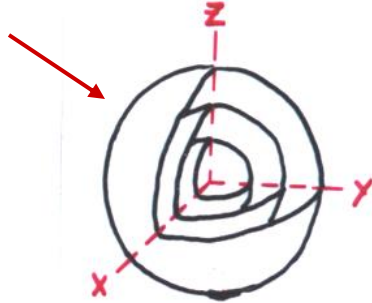
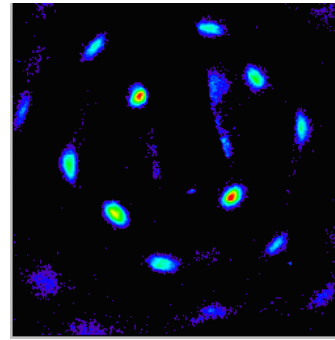
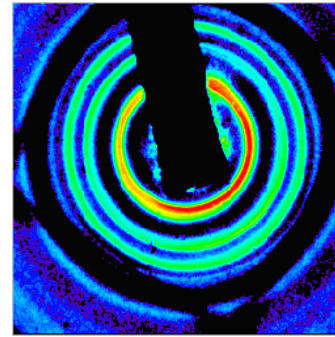
Summary of crystal observations

spherical plasmas

bcc crystals observed with $N > 200$ k ions

other crystal types (fcc, hcp) observed for $20 \text{ k} < N < 200 \text{ k}$

shell structure observed for $N < 20 \text{ k}$ ions



planar plasmas

structural phase transitions between rhombic planes (bcc-like)
and hexagonal planes (fcc-like or bcc-like)

good agreement with the predicted $T=0$ minimum energy lattice
for plasmas < 10 lattice planes thick

Modes of magnetized spheroidal plasmas

Detailed understanding of the plasma modes necessary for quantum information expts

Analytical cold fluid mode theory by Dan Dubin, PRL **66**, 2076 (1991);
accurate for mode wavelength \gg interparticle spacing

Mode geometry characterized by l and m (indices of associated Legendre functions)

m is azimuthal mode number, $(l-m)$ gives number of zeros along boundary

For given (l,m) , many different modes exist: $[2(l-m) + 2]$ $l-m$ even
 $[2(l-m) + 1]$ $l-m$ odd

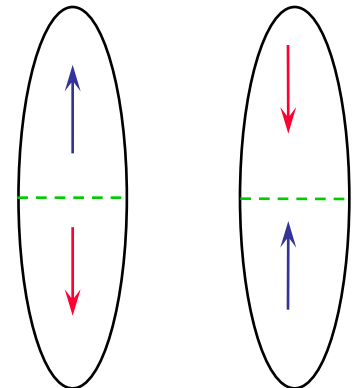
$l=1$ modes are the COM excitations

$(l=1, m=0) \leftrightarrow$ axial COM mode ω_z

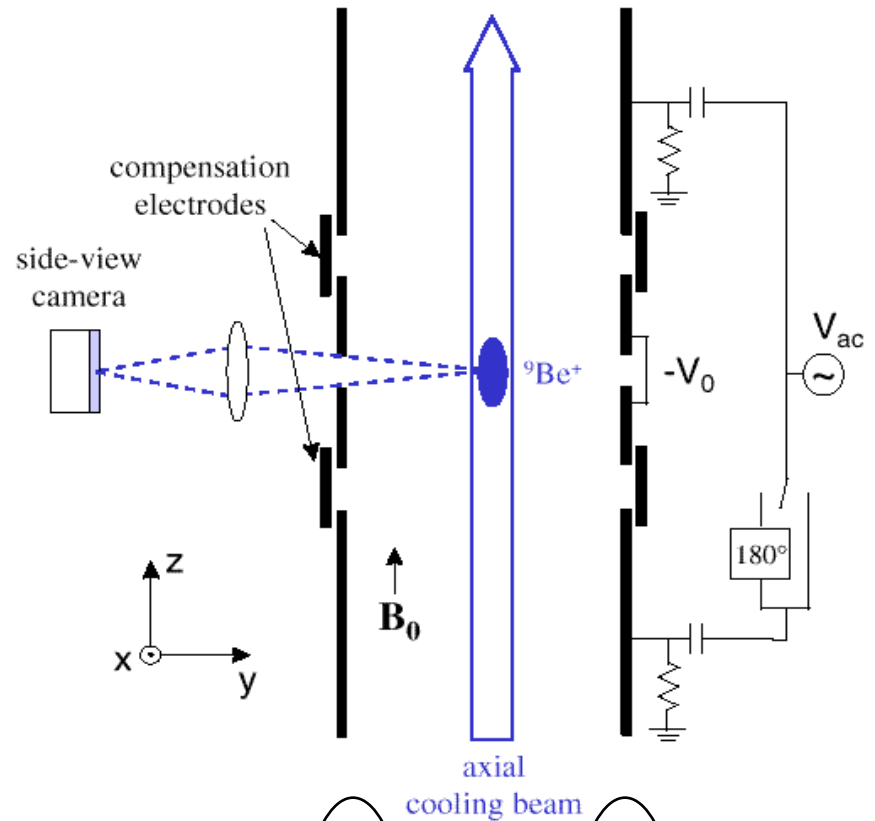
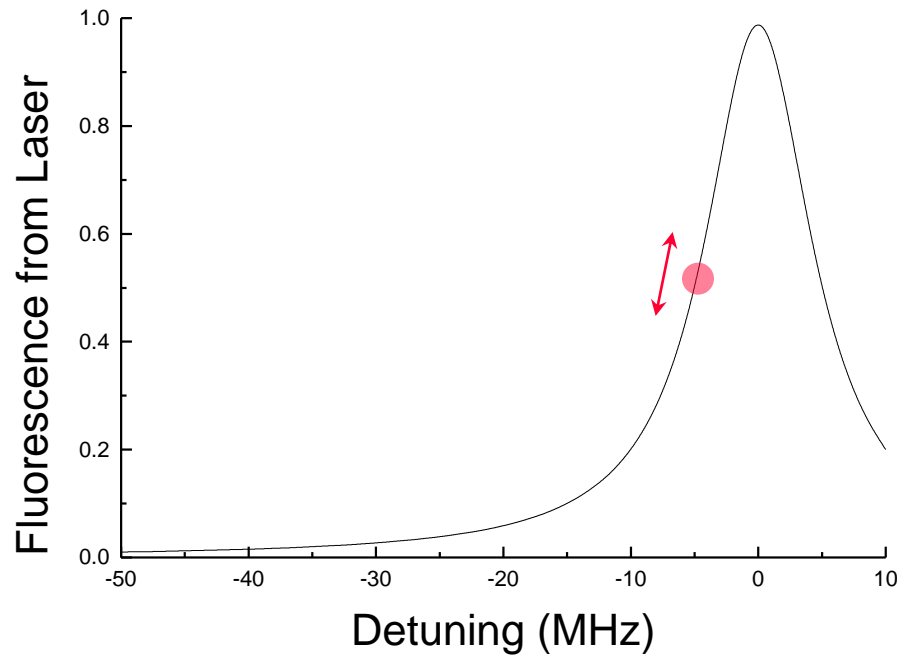
$(1,1) \leftrightarrow$ cyclotron COM mode $\Omega_c - \omega_m$
magnetron COM mode ω_m

$l=2$ modes are quadrupole deformations

$(2,0) \leftrightarrow$
axial stretch mode

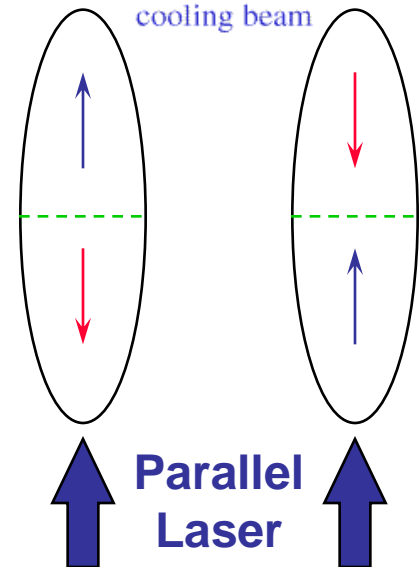


Doppler imaging of plasma mode velocities



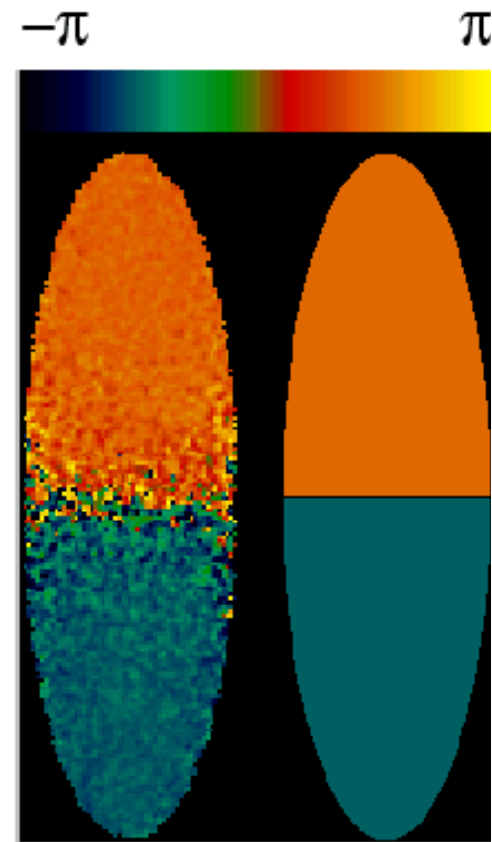
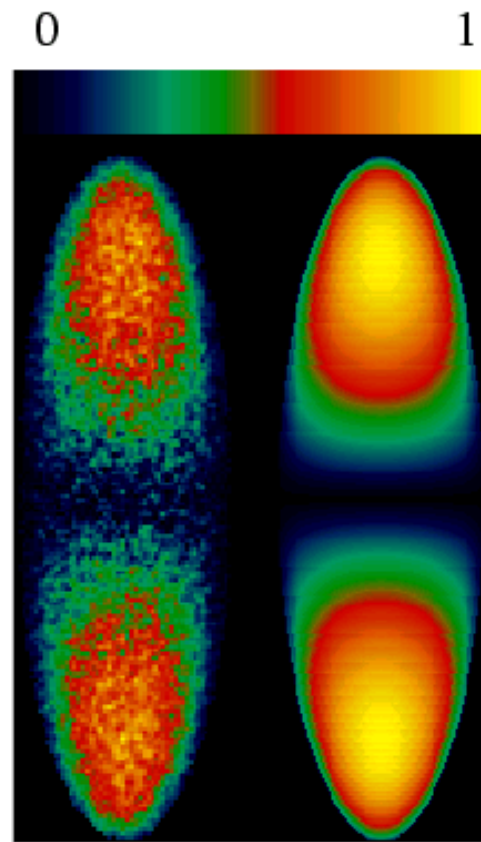
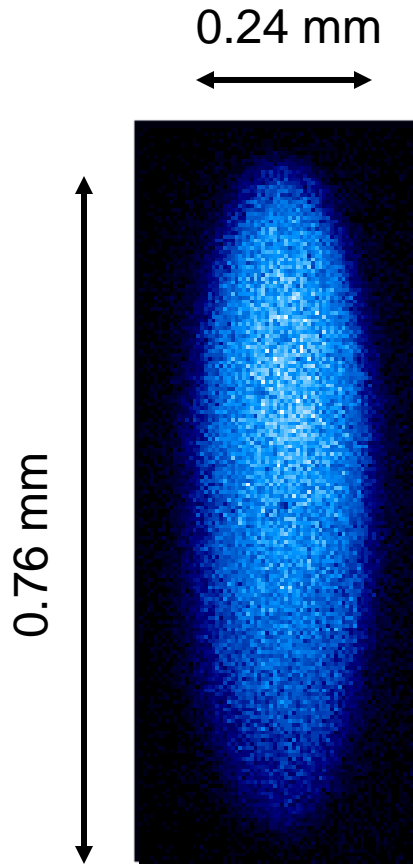
Modes can be detected and easily identified at low (linear) amplitudes

Detectable velocities < 0.5 m/sec
corresponding to < 100 nm displacements
(Biercuk et al., arXiv:1004.0780, 18 nm axial
COM excitation excited with ~ 170 yN
oscillating force.)



(2,0) mode excitation

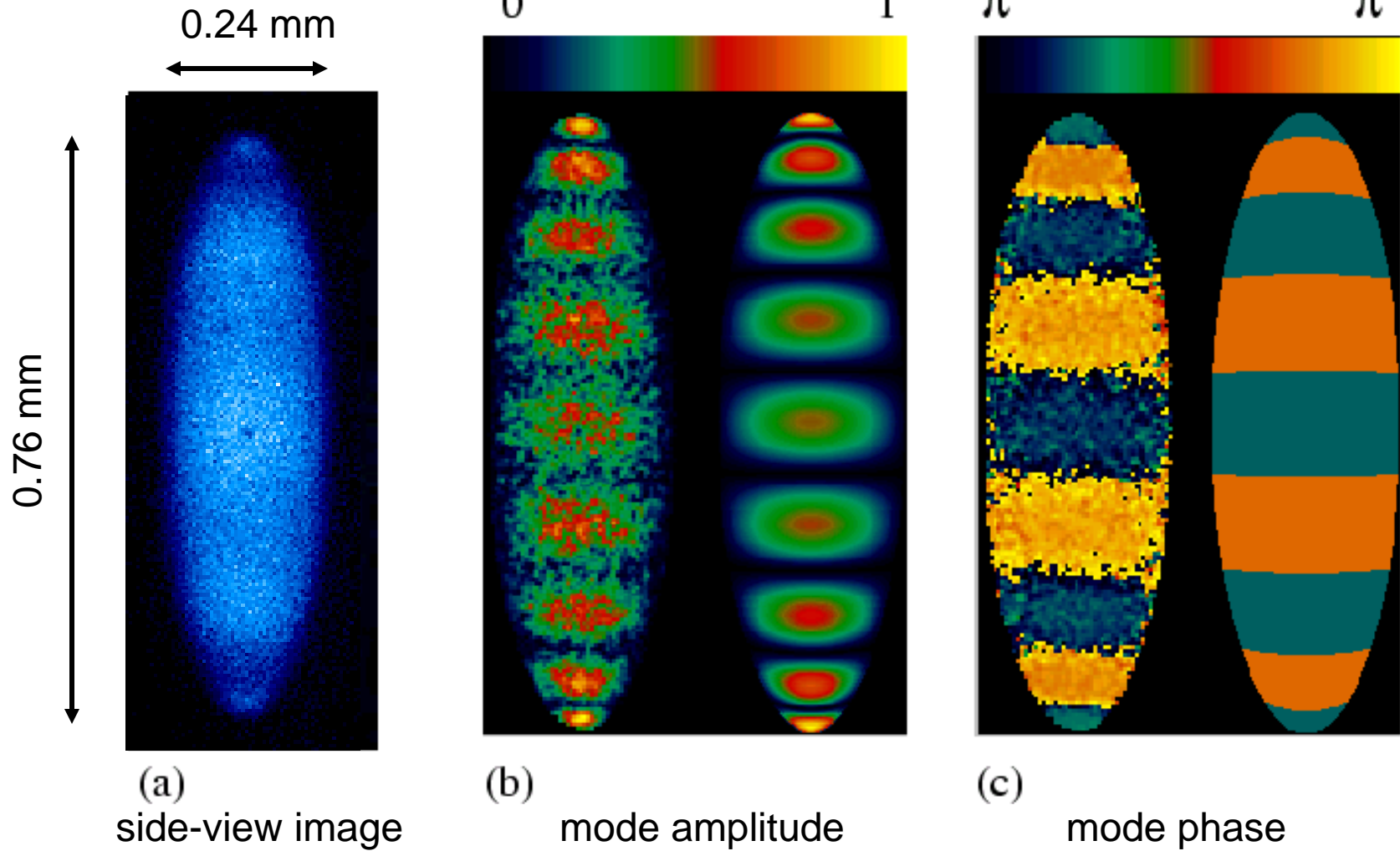
$$\omega_{2,0} = 2\pi \cdot 1.656 \text{ MHz}$$



Good agreement between theory and experiment !

(9,0) mode excitation

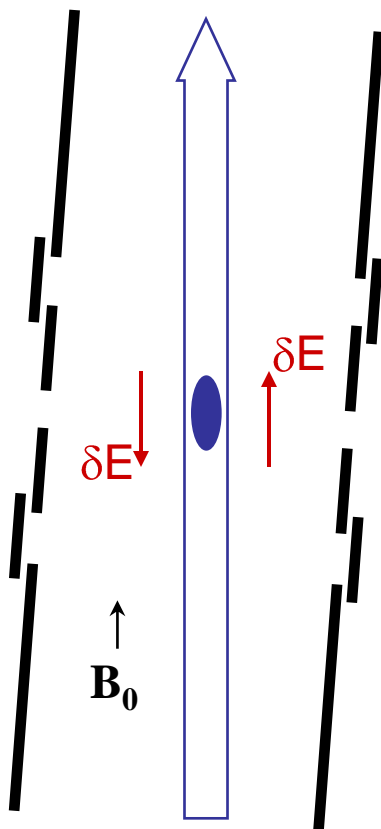
$$\omega_{9,0} = 2\pi \cdot 2.952 \text{ MHz}$$



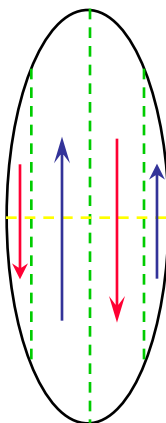
Mitchell et al., Optics Express 2, 314 (1998)

$l=1$ modes excited by static field errors

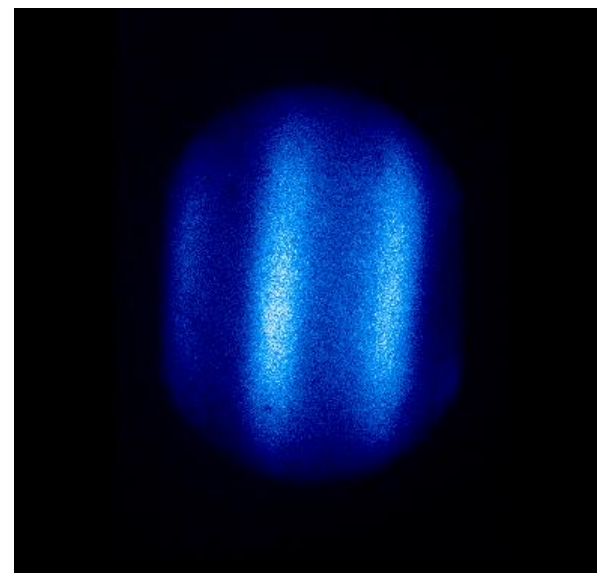
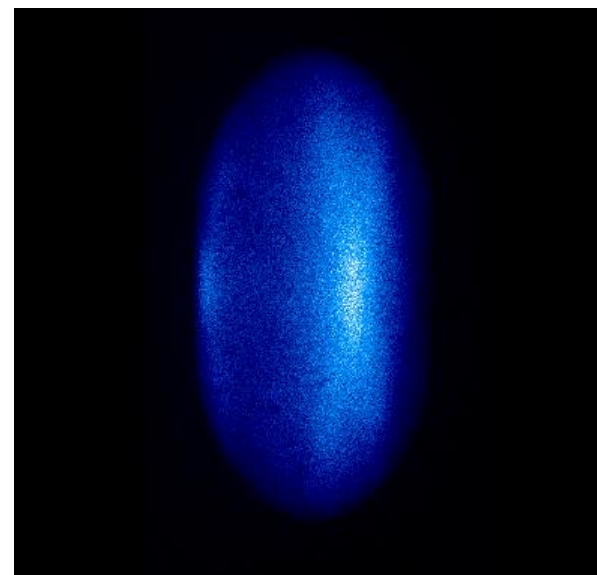
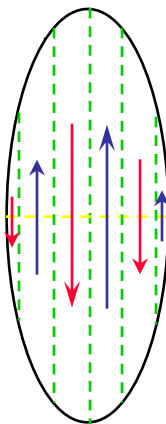
tilt error



(4,1)



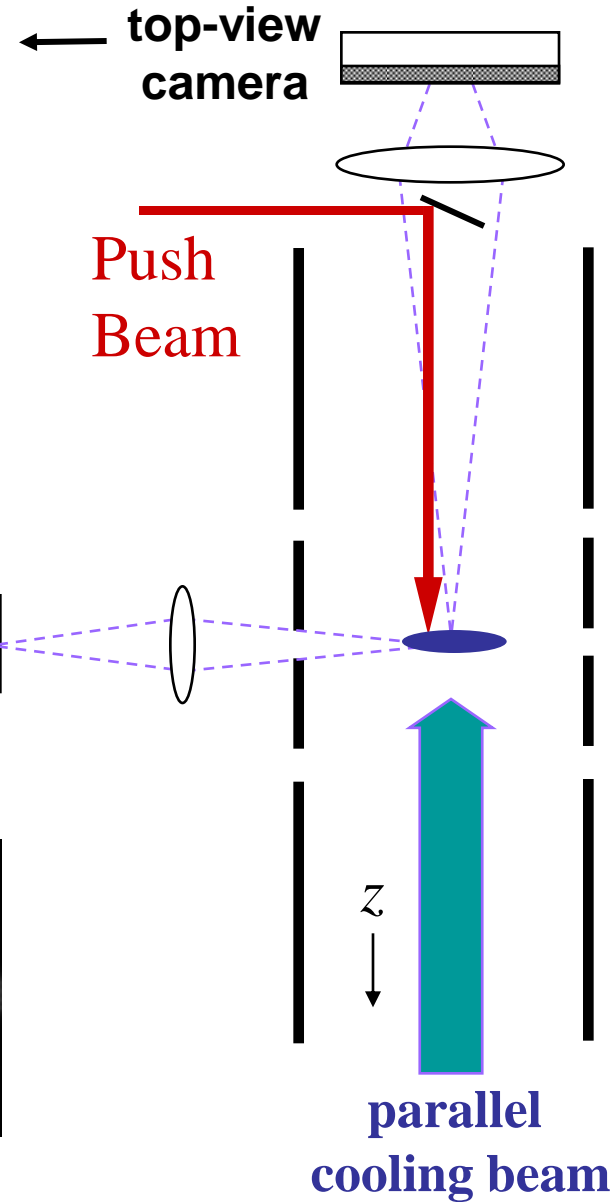
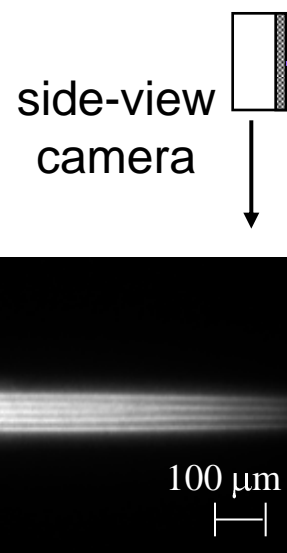
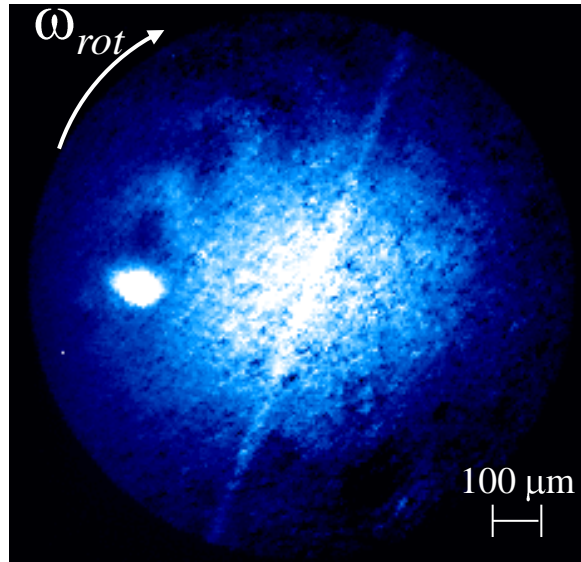
(6,1)



produces a perturbed electric field δE
directed down on one side of the trap
and up on the other

Provides alignment to better than 0.01 degrees !!

Plasma waves excited by laser radiation pressure

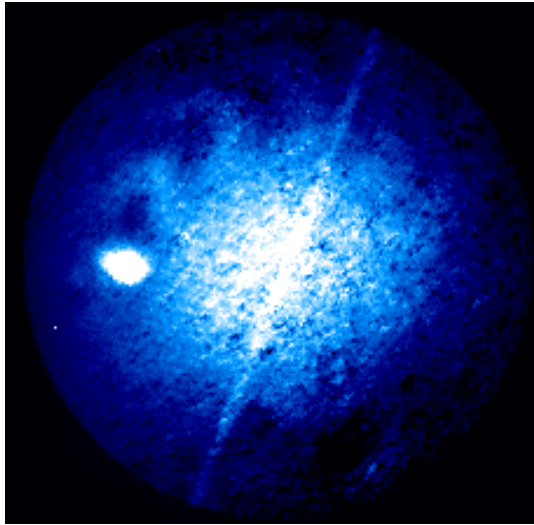


Push on the top of a rotating ion crystal with a laser beam.

The waves which are excited interfere to form a "wake".

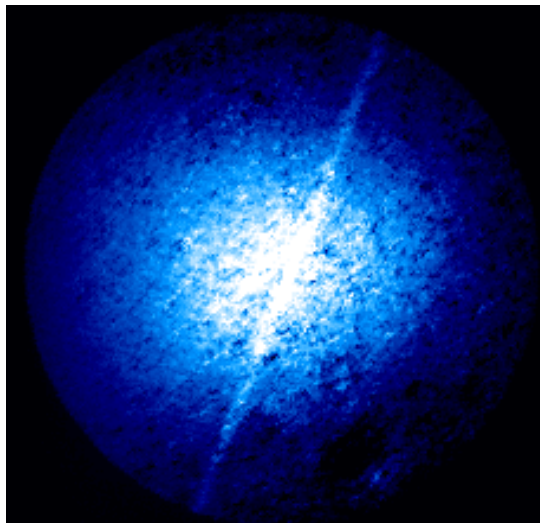
Doppler image of wakes

Image **w/** push beam

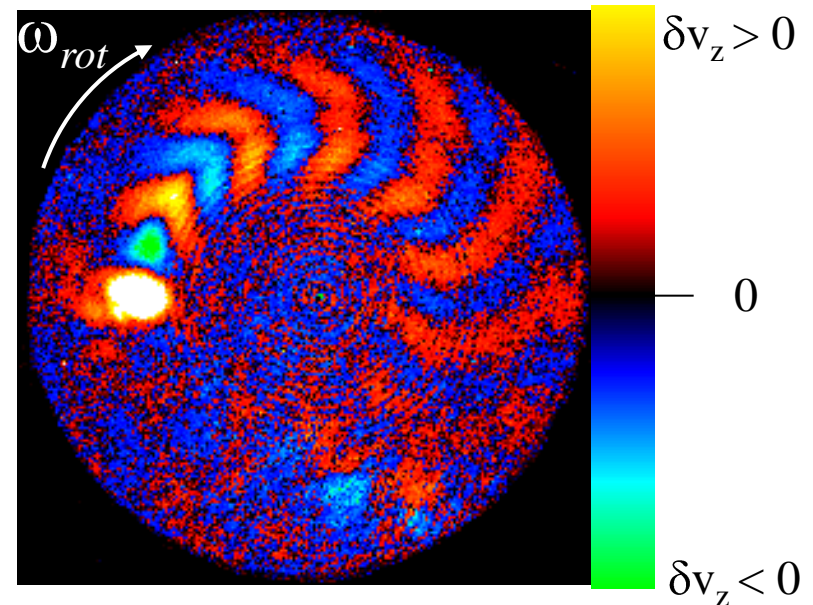


Variations in image intensity (shown with a false color scale) correspond to variations in the axial motion of the ions in the crystal.

Image **w/o** push beam

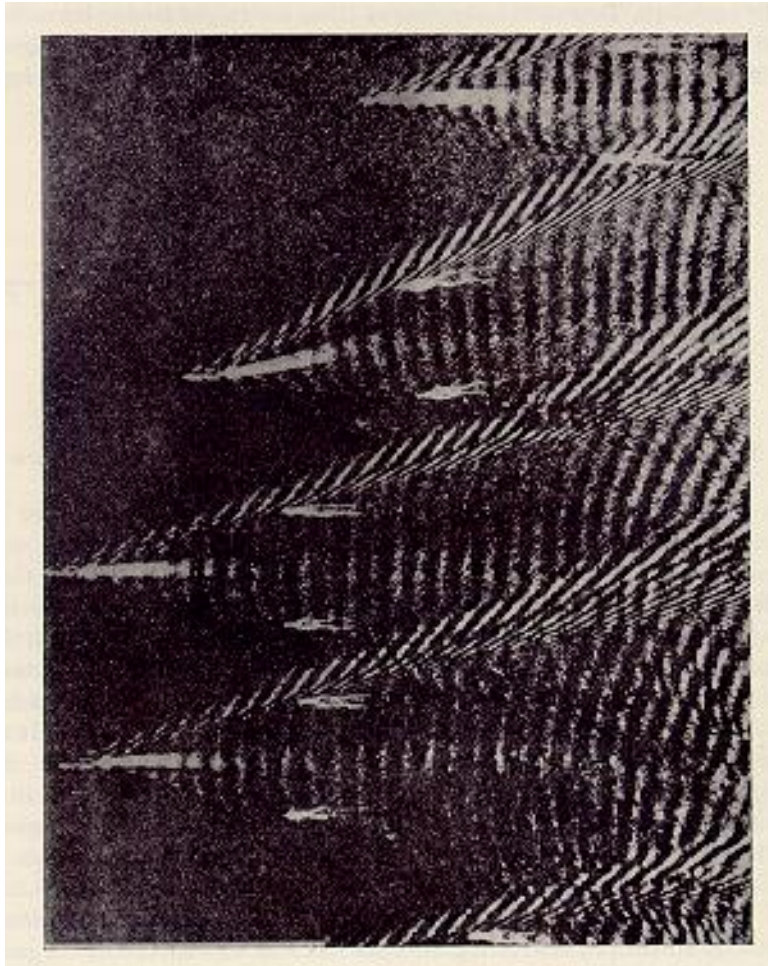


Subtract the two images

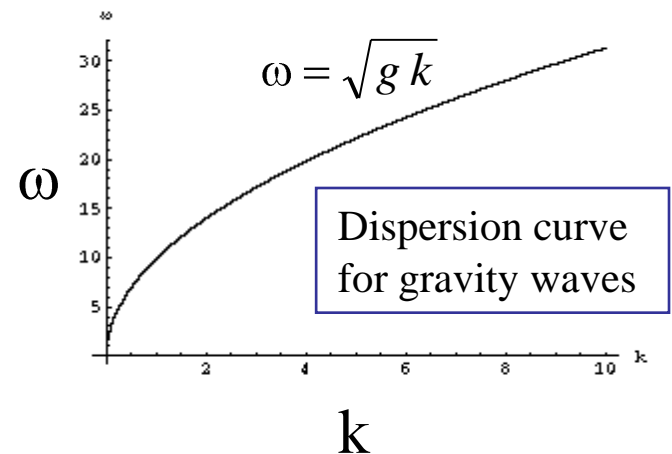
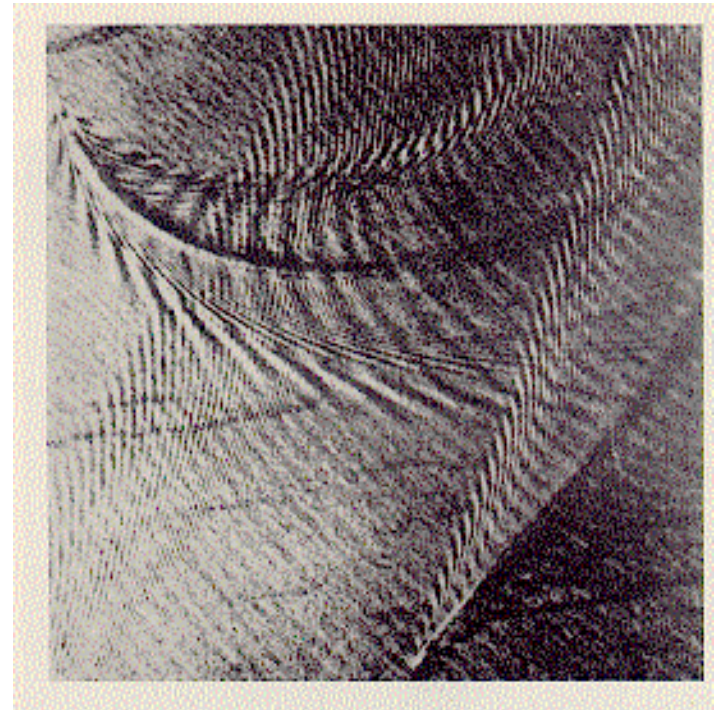


A large spectrum of modes are excited, which interfere to form a wake that is stationary in the source (lab) frame.

Analogous to wakes in water

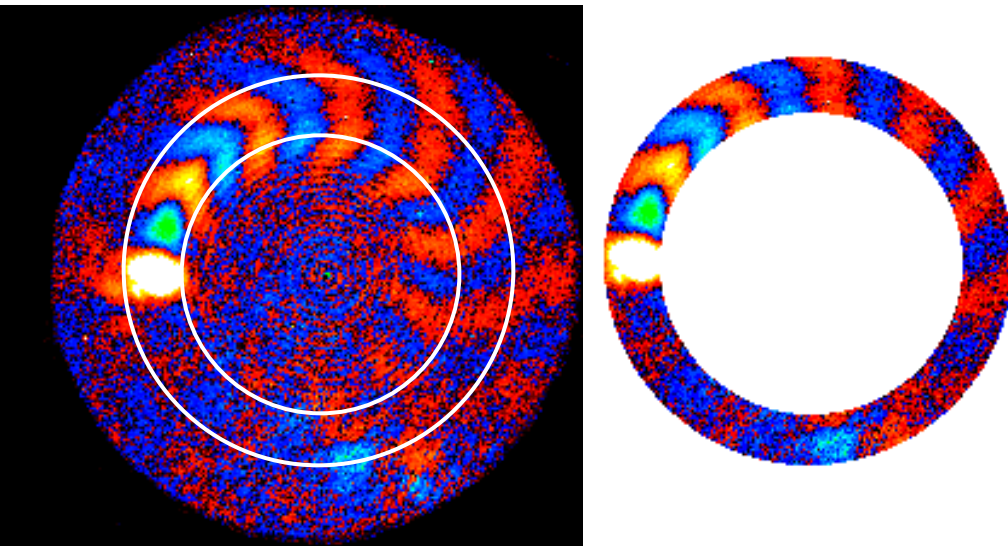


Wakes are Stationary in the frame of the source (ship).



Analyze image to obtain λ and ω

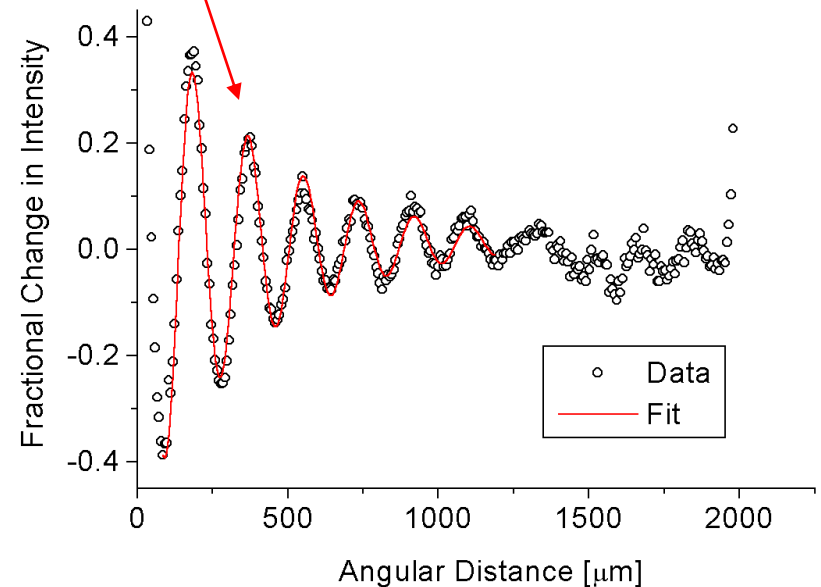
Analyze wake pattern in an annular region that is directly “behind” the push beam.



Fit to damped sinusoid to get λ :

$$y = C_0 + C_1 \text{Sin}(C_2 x + C_3) e^{-C_4 x}$$

$$C_2 = k = 2\pi/\lambda$$



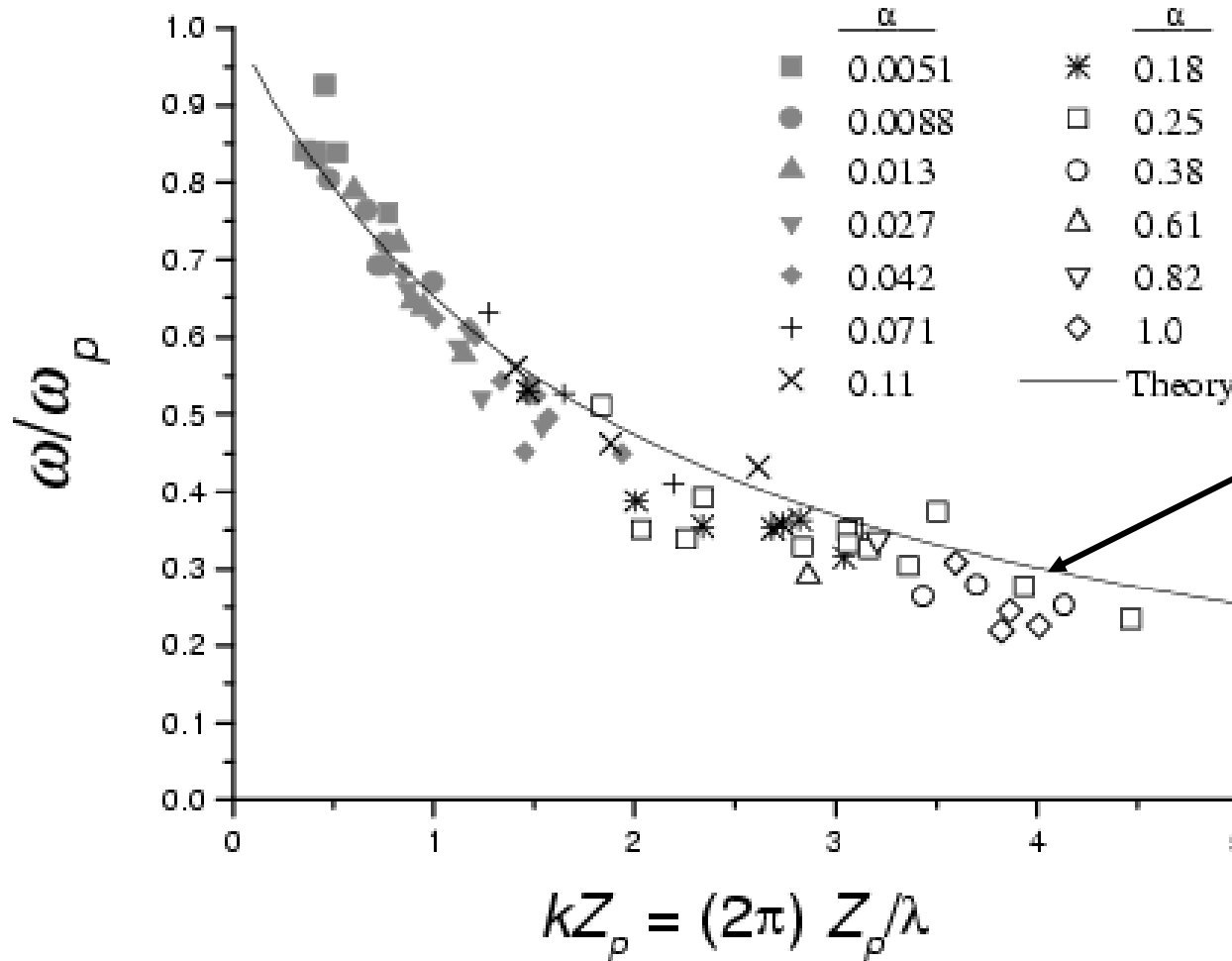
Directly behind the beam, the stationary phase condition gives:

$$\mathbf{V}_{source} = \omega_{Rot} \mathbf{r}_{source} = \omega/k$$

For this case:

$$\begin{aligned} \lambda &= 180 \mu\text{m} & \delta v_z &< 1 \text{ m/s} \\ \omega/2\pi &= 500 \text{ kHz} & \delta z &< 0.3 \mu\text{m} \end{aligned}$$

Dispersion relationship

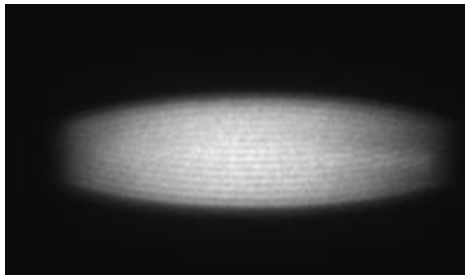
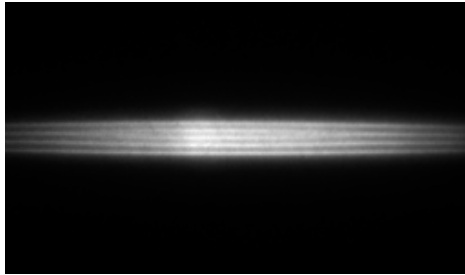


The data agrees with the theoretical dispersion relationship for drumhead waves in a plasma slab of thickness $2Z_p$.

$$\tan\left[\frac{kZ_p}{\sqrt{\omega_p^2/\omega^2 - 1}}\right] = \sqrt{\omega_p^2/\omega^2 - 1}$$

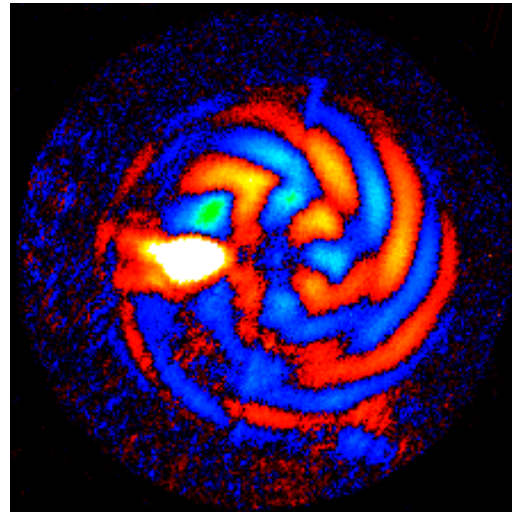
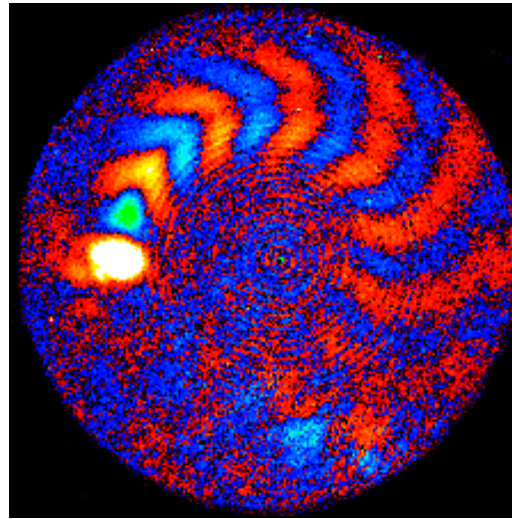
Theory replicates experiment

Side View

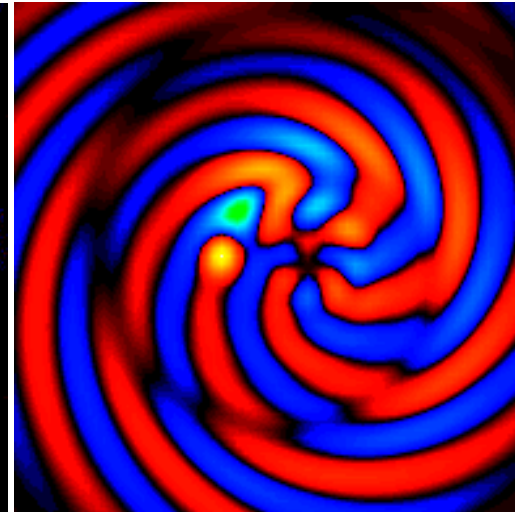
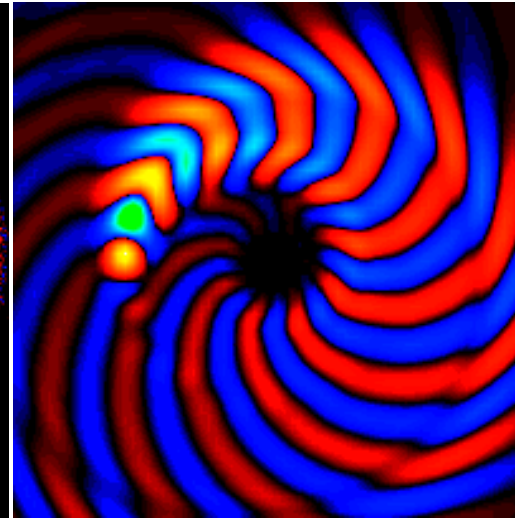


Top View

Experiment



Theory -Dubin



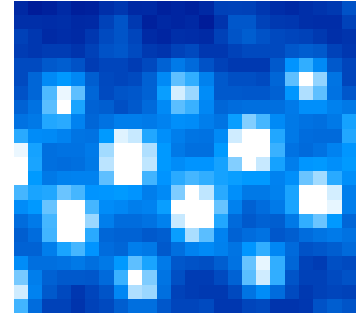
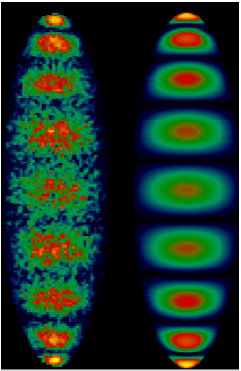
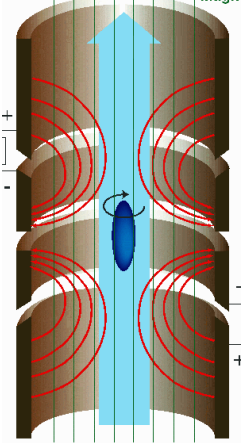
$\delta v_z > 0$

0

$\delta v_z < 0$

Summary

- Penning trap uses static fields for confinement enabling laser cooling of large ion clouds; plasma rotation is required for confinement.
- 2-D and 3-D crystals observed and understood as structures that minimize the Coulomb potential energy
- Long wavelength mode structure can be calculated exactly and precisely understood



Tuesday:

- Quantum information and simulation experiments with 2-D arrays

